

Agenda Book

**EPSB Meeting Agenda  
EPSB Offices**

**100 Airport Road, 3<sup>rd</sup> Floor, Conference Room A, Frankfort, KY 40601**

**May 17, 2010**

**Sunday, May 16, 2010**

**5:30 PM EDT      DISCUSSION ON: 1) NCATE Changes, 2) Title II Changes, and  
3) KTIP Issues  
EPSB Offices, Conference Room A  
NO BUSINESS WILL BE CONDUCTED**

**Monday, May 17, 2010**

**9:00 AM EDT      Call to Order  
Roll Call  
Approval of February 10, 2010 Joint Meeting Minutes  
(Pages 1-8)  
Approval of March 1, 2010 EPSB Meeting Minutes (Pages 9-36)  
Open Speak  
Report of the Executive Director  
A. Report from the Kentucky Department of Education  
B. Report from the Council on Postsecondary Education  
C. Legislative Update (Ms. Alicia Sneed)  
Report of the Chair  
Committee Reports  
Information/Discussion Item  
Awarded Contracts (Mr. Gary Freeland) (Pages 37-38)  
Action Items  
A. Approval of Contract (Mr. Freeland) (Pages 39-40)  
B. 16 KAR 6:010. Written Examination Prerequisites for Teacher  
Certification, Final Action (Mr. Robert Brown) (Pages 41-60)  
C. 16 KAR 6:030. Examination Prerequisites for Principal  
Certification, Final Action (Mr. Brown) (Pages 61-70)  
D. EPSB Meeting Schedule (Ms. Ashley Abshire) (Pages 71-74)  
E. KTIP and the Implementation of the Budget Bill  
(Mr. Freeland and Mr. Brown) (Pages 75-76)  
F. Approval of Principal Preparation Program, All Grades, Spalding  
University (Dr. Marilyn Troupe) (Pages 77-80)  
G. Accreditation of the Educator Preparation Unit and Approval of  
Programs, St. Catharine College (Dr. Troupe) (Pages 81-86)**

### **Waivers**

- A. 16 KAR 5:040. Request to Waive the Cooperating Teacher Eligibility Requirements, Dr. Mary John O'Hair on behalf of Ms. Rebecca Stephenson (Dr. Troupe) **(Pages 87-90)**
- B. 16 KAR 5:040. Request to Waive the Cooperating Teacher Eligibility Requirements, Dr. Mark Wasicsko on behalf of Ms. Jennifer Walker (Dr. Troupe) **(Pages 91-94)**
- C. 16 KAR 8:030. Request to Waive the Requirement of *Take One!* of the Continuing Education Option (CEO) (Mr. Brown) **(Pages 95-100)**
- D. 16 KAR 2.010. Request for Extension to Complete Master's Degree, Ms. Anita Bentley (Mr. Mike Carr) **(Pages 101-104)**
- E. 16 KAR 2.010. Request for Extension to Complete Master's Degree, Ms. Mary McCord (Mr. Mike Carr) **(Pages 105-108)**
- F. 16 KAR 2.010. Request for Extension to Complete Master's Degree, Ms. Melissa Wyman (Mr. Mike Carr) **(Pages 109-112)**

### **Board Comments**

*Following a motion in open session, it is anticipated that the board will move into closed session as provided by KRS 61.810 (1)(c) and (1)(j).*

### **Certification Review and Revocation: Pending Litigation Review**

*Following review of pending litigation, the board shall move into open session. All decisions will be made in open session.*

### **Mandatory Sexual Misconduct Training Pursuant to 161.028 1(h)**

### **Adjournment**

Next Regular Meeting:  
August 2010  
EPSB Offices

**KENTUCKY BOARD OF EDUCATION,  
COUNCIL ON POSTSECONDARY EDUCATION AND  
EDUCATION PROFESSIONAL STANDARDS BOARD  
FEBRUARY 10, 2010  
JOINT MEETING**

**KENTUCKY COMMUNITY AND TECHNICAL COLLEGE  
SYSTEM OFFICES  
300 NORTH MAIN STREET  
VERSAILLES, KENTUCKY**

***SUMMARY MINUTES***

**Wednesday, February 10, 2010**

The Kentucky Board of Education (KBE), Council on Postsecondary Education (CPE) and Education Professional Standards Board (EPSB) met on February 10, 2010, for a joint meeting at the Kentucky Community and Technical College System (KCTCS) offices in Versailles, Kentucky and conducted the following business:

***MEETING OPENING AND WELCOME***

KCTCS President Michael McCall welcomed the three boards and the audience to the meeting. He indicated KCTCS was glad to host the historic event and said that education overall is having a positive impact across the state. McCall thanked CPE President Robert King for asking KCTCS to host the meeting and noted he was delighted to have the Governor here to be part of the meeting.

***REMARKS BY GOVERNOR STEVEN L. BESHEAR***

Commissioner Terry Holliday of the Kentucky Department of Education (KDE) stated that he would be serving as master of ceremonies at tonight's meeting and shared that he was extremely honored to be selected as Kentucky's commissioner of education. He commented that in his experience in the state he has been impressed with the work of all of the education partners on Senate Bill 1 and particularly by the collaboration among the three boards, Representative Carl Rollins, Senator Ken Winters and the General Assembly. Holliday then noted that one of his highlights after coming to Kentucky has been getting to know Governor Steve Beshear and experience his commitment to education. He asked the Governor to come forward to make his remarks.

Governor Beshear made the following points:

- Kentucky has experienced several historic moments in an effort to move education forward.
- Tonight's meeting is one of those moments where the three education boards have come together to move Kentucky education forward.

## Agenda Book

- In 1990, the state's leaders made a promise through education reform that if students worked hard, they would be prepared for success in the world. From these efforts, Kentucky's education system made significant progress; however, it still has farther to go.
- Last summer, I signed an agreement for the state to participate in the development of the common core standards. Today, Kentucky is showing its leadership by being the first to adopt these standards with the ultimate goal being that the K-12 system will prepare students for success in higher education and the world.
- The new standards will raise the bar and meet the requirements of Senate Bill 1. They will provide more consistency nationally, are benchmarked with international standards and are clearer and more easily communicated so that all will know what is expected of students.
- What is done today cannot stand alone. We must commit funds, focus and energy to move schools ahead.
- Commissioner Holliday and I have formed the Transforming Education in Kentucky Task Force and my budget submission was designed to protect education.
- Congratulations to all those involved in this meeting tonight to ensure our kids have a high quality education.

### ***VIDEO MESSAGE FROM U.S. SECRETARY OF EDUCATION ARNE DUNCAN***

Commissioner Holliday reported that due to adverse weather conditions and the federal government not working, the video was not able to reach the state for use tonight. He noted that it is ready and as soon as it is received, it will be posted on the Web sites of the three agencies for viewing. Holliday then, on behalf of Secretary Duncan, offered congratulations to the three boards for their support of the new standards.

### ***CALL TO ORDER AND ROLL CALL***

Chair Joe Brothers called the KBE to order and asked Mary Ann Miller to call the roll. Present for the meeting were C.B. Akins, Kaye Baird, Joe Brothers, Dorie Combs, Jeanne Ferguson, Judy Gibbons, Billy Harper, Doug Hubbard, Austin Moss, Brigitte Ramsey and Robert King. Absent was David Karem.

Chair Paul Patton then called the CPE to order and asked Phyllis Bailey to call the roll. Present for the meeting were Ellen Call, Chris Crumrine, Glenn Denton, Dan Flanagan, Joe Graviss, Terry Holliday, Phyllis Maclin, Nancy J. McKenney, Pam Miller, Donna Moore, Lisa F. Osborne, Paul E. Patton, Jim Skaggs, Joe Weis and Joe Wise. Absent was Marcia Milby Ridings.

Next, Chair Lorraine Williams called the EPSB to order and asked Ashley Abshire to call the roll. Present for the meeting were Lonnie Anderson, Frank Cheatham, Cathy Gunn, Mary Hammons, Terry Holliday, Robert King, Greg Ross, Becky Sagan, Sandy Sinclair-Curry, Zenaida Smith, Bobbie Stoess, Tom Stull, Mark Wasicsko, Cassandra Webb and Lorraine Williams. Absent were Lynn May and Cynthia York.

***REMARKS BY EDUCATION COMMITTEE CHAIRS***

Commissioner Terry Holliday moved on to the next agenda item and stated that this meeting would not have occurred without the passage of Senate Bill 1 (SB 1). He explained that SB 1 directs collaboration among the three boards and their respective agencies. Holliday said that two of the legislators that were key to the passage of SB 1 and House Bill 176 (within eight days), Representative Carl Rollins and Senator Ken Winters were present and he asked them both to say a few words about the standards and tonight's meeting.

Representative Rollins commented that the meeting has brought together a distinguished group of policy makers and indicated he was honored to be here as part of the historic meeting. Rollins emphasized that the adoption of national standards is a big step forward for Kentucky's education system.

Senator Winters then spoke and congratulated the three boards for coming together because he believes the team effort on this work will impact the state forever. He felt tonight was historic and that adoption of the new standards is a major step educationally as well as an integral part of SB 1. Winters said that in addition to new standards, SB 1 will require implementation of a new assessment and accountability system that will evaluate individual students and not just schools. Although he stated that the adoption of the new English/language arts and mathematics standards is a matter about which we rejoice, Winters emphasized that assistance will be needed in adopting new standards in the other curricular areas. He noted that he would be talking with the National Governors Association and the Council of Chief State School Officers about this matter due to the necessity of being able to compare Kentucky student performance with students from across the nation. Winters concluded by expressing appreciation for being part of the SB 1 Committee and for seeing all three boards sitting together to make this event happen.

***JOINT PRESENTATION REGARDING THE NEW KENTUCKY CORE ACADEMIC STANDARDS***

At this point, President Robert King, Commissioner Terry Holliday and Executive Director Phillip Rogers came forward to the presenters' table to address the three boards about the new standards and present the resolution that the boards were being asked to adopt.

President Robert King of the CPE spoke first and made the following points:

- He joined Michael McCall in welcoming everyone to the meeting. Recognition was given to several college and university presidents and higher education officials, Bob Sexton of the Prichard Committee, Dave Adkisson of the Kentucky Chamber of Commerce, legislators, Acting Secretary of Education Joe Meyer and Mary Ann Blankenship of the Kentucky Education Association as being present and all were thanked for their support of the work that is being recognized tonight.

## Agenda Book

- It was emphasized that the heads of the three agencies have developed an extraordinary relationship and have been given the opportunity to truly collaborate in implementing the requirements of SB 1. Staffs of the three agencies have joined together and put turf issues aside.
- Sue Cain, John DeAtley and Aaron Thompson from the CPE and higher education faculty worked with teachers and KDE staff to assure that the standards meet the needs that exist in Kentucky.
- The standards are sometimes characterized as national standards but they are really ones developed by states and for states. In the latest communication between the national teams and our state teams, all of Kentucky's recommendations were reflected in the draft document. Thus, what the boards are being asked to support are Kentucky-specific standards.
- Professional development will be central to the success of the standards work and thus teachers must be provided with the needed support to bring the standards to life.
- Higher education desires to have more students enrolled and wants them all to succeed.

Dr. Phillip Rogers of the EPSB then shared the following comments:

- Members of the ESPB were thanked for their participation in the meeting as well as those staff members that were present.
- It was pointed out that the majority of the EPSB members are practicing teachers or administrators, representatives of groups that are all part of the standards work.
- Accolades were given to the other two agency heads for the working relationship that has developed and Rogers' role in their group was characterized as being more of a dreamer because SB 1 caused him to visualize what it would take to reach the goal of every student ready for college or work.
- The collaboration across the agencies and new ideas has led to believing that Kentucky has one education system with the resolve that business will be conducted with this principle in mind.
- Far too many students do not succeed in college, high school or work. Adopting and supporting the standards is the easy part. Incredible changes in teacher preparation programs, high-quality professional development, and a focus on the working conditions of teachers are all critical steps that will be necessary for SB 1 to work.
- Thank you for the work to date and for the work that will occur in the days to come.

The final speaker of the group was Commissioner Terry Holliday of the KDE. He stated the following:

- Staff members from the KDE were thanked for their intensive work on the new standards.
- It was noted that the winds of change are blowing across the country and that these winds must be harnessed to improve education for our children.
- Those who are interested in how these changes will be accomplished were asked to read Kentucky's Race to the Top application. As part of this work, the

## Agenda Book

standards must be translated into understandable language, which will require professional development.

- The belief that this work will make a difference in the children of our state over the long term was put forth and the challenge for everyone to get to work to implement the standards was made.

### ***REMARKS FROM REVIEWERS REGARDING THE NEW KENTUCKY CORE ACADEMIC STANDARDS***

Next, Commissioner Holliday asked two people who had served on the review teams for the standards, Dr. Brenda Overturf and Charlie Newquist, to come forward and address the boards.

Dr. Brenda Overturf, professor in the Education Department – Teaching and Learning at the University of Louisville, provided her thoughts with regard to the review of the standards by Kentucky teachers and higher education officials as follows:

- She was part of a group that was invited to review the college readiness standards in September and also part of a similar group that last month reviewed the K-12 English/language arts standards.
- The task of the group was to review the standards and ensure these were appropriate for Kentucky.
- The new standards will provide an understanding across the states of what students need to know and be able to do and will allow curriculum mapping.
- Although there were revisions made, they were on the right track and many of the group's suggestions appear in the current draft.
- The belief was put forth that the common core standards will provide standards that are deeper, clearer, and more focused.

The second speaker was Charlie Newquist, mathematics teacher from East Jessamine Middle School in Nicholasville. He shared these thoughts:

- It was an honor to be part of the standards review process and he served on the mathematics group from the beginning of the process.
- Every time new information was received from the national team, the state group could see that its concerns were being addressed.
- The standards are rigorous but reachable.
- It is exciting as a teacher to know what is expected and appreciation was expressed for being a part of the work.

### ***PASSAGE OF JOINT RESOLUTION BY KBE, CPE, AND EPSB BOARDS***

Commissioner Holliday referred the three boards' attention to the joint resolution found in the meeting's Agenda Book and read it aloud as follows:

***“RESOLUTION SUPPORTING THE ADOPTION AND  
INTEGRATION OF THE KENTUCKY CORE  
ACADEMIC STANDARDS ACROSS KENTUCKY’S  
EDUCATION SYSTEM***

***BY***

***THE KENTUCKY BOARD OF EDUCATION,  
COUNCIL ON POSTSECONDARY EDUCATION AND  
EDUCATION PROFESSIONAL STANDARDS BOARD  
COMMONWEALTH OF KENTUCKY***

- Whereas,*** The Kentucky Board of Education, Council on Postsecondary Education and Education Professional Standards Board are committed to the continual improvement of the educational system for all students; and
- Whereas,*** Senate Bill 1 was passed in the 2009 regular session of the Kentucky General Assembly and requires collaboration among the boards and staffs of the Kentucky Department of Education, Council on Postsecondary Education and Education Professional Standards Board to revise Kentucky’s academic content standards, train local district teachers and administrators on their implementation and train faculty and staff in all of the teacher preparation programs in the application of the revised academic standards; and
- Whereas,*** The Senate Bill 1 Steering Committee has supported the three agencies’ collaboration with the Council of Chief State School Officers and National Governors Association Center for Best Practices in the Common Core Standards project; and
- Whereas,*** Kentucky’s Governor and Chief State School Officer signed a Memorandum of Agreement with the aforementioned organizations to participate in the development and adoption process of national Common Core Standards in English/language arts and mathematics for grades K-12; and
- Whereas,*** The drafting process for the standards has included broad input from Kentucky teachers, administrators, higher education officials, education partners, the public, staffs of the three participating agencies, a national validation committee and national organizations that has resulted in the current standards document; and
- Whereas,*** As required by Senate Bill 1, the Common Core Standards in English/language arts and mathematics focus on critical

**knowledge, skills and capacities needed for success in the global economy; reflect fewer, but more in-depth standards to facilitate learning; communicate expectations more clearly and concisely to teachers, parents, students and citizens; consider international benchmarks; and ensure that the standards are aligned from elementary to high school to postsecondary education so that students can be successful at each educational level;**

***NOW, THEREFORE*, be it resolved by the Kentucky Board of Education that the current draft of the Common Core Standards, to be known as the Kentucky Core Academic Standards for English/language arts and mathematics, was adopted by the board on February 10, 2010, and be it further resolved by the Kentucky Board of Education, Council on Postsecondary Education and Education Professional Standards Board that their respective agencies shall integrate the final standards into their work and processes to ensure that all Kentucky students experience a successful and productive future.**

**Done in the city of Versailles, Kentucky, this tenth day of February, in the year Two Thousand Ten."**

Commissioner Holliday shared that the staffs of the three respective agencies are recommending approval of the joint resolution.

KBE Chair Joe Brothers then made the following comments:

- Earlier today, the Kentucky Board of Education approved the preliminary version of the Core Academic Standards to be incorporated by reference into state regulation.
- We are engaging in an historic event this evening – one that will have unprecedented and positive effects for years to come.
- The adoption of the preliminary Core Academic Standards meets a major mandate of Senate Bill 1 and sets us on the road to a new era for public education.
- Thanks to all of you for your collaborative efforts on this project. I'm proud to be a part of this work, and I know the other members of the Kentucky Board of Education are excited and ready to move forward.
- A motion and second from Kentucky Board of Education members are needed to adopt the joint resolution.

Doug Hubbard moved to adopt the joint resolution and Judy Gibbons seconded the motion. In a roll call vote, it carried unanimously.

CPE Chair Paul Patton then commented as follows:

- This is an historic day and what we are discussing impacts the economic future of Kentucky.
- At one time, Kentucky was a leader nationally in many fields but lost its way and went to the bottom in most rankings. Fortunately, 20 years ago education reform

## Agenda Book

was implemented and the state committed to being a leader. It takes a long time for change to become evident but if one looks back, the difference is visible.

- This action relative to the new standards represents another milestone in the state's educational progress.
- It is the responsibility of the Governor and General Assembly to give us direction and the authority to do the job, but it is up to us to get the job done.
- The classroom teacher will be the level at which the important work must occur.
- We at CPE are proud to be part of this work and a motion and second are needed to adopt the joint resolution.

Dan Flanagan moved to adopt the joint resolution and Glenn Denton seconded the motion. In a roll call vote, the motion carried unanimously.

Next, EPSB Chair Lorraine Williams stated the following:

- Being from New York and Houston, in my experience Kentucky was known for leading change.
- Today, another bridge was crossed and Kentucky is bringing together a narrowed focus and deepened level of learning to reduce dropouts. It is the beginning of a new era.
- As state board members, we must remember that our work is to support the work of our respective agencies and that we have a common agenda.
- A motion and second to adopt the joint resolution are needed from the EPSB.

Zenaida Smith moved to adopt the joint resolution and Lonnie Anderson seconded the motion. In a roll call vote, the motion passed unanimously.

## ***ADJOURNMENT***

Commissioner Holliday thanked everyone for attending and announced that a reception would follow in the lobby. He then asked each board to officially adjourn.

For the Kentucky Board of Education, Judy Gibbons moved to adjourn and C.B. Akins seconded the motion. The motion carried.

For the CPE, Phyllis Maclin moved to adjourn and Joe Weis seconded the motion. The motion carried.

Then, Cathy Gunn of the EPSB moved to adjourn and Zenaida Smith seconded the motion. The motion carried.

The meeting of all three boards was officially adjourned.

## Agenda Book

*The actions delineated below were taken in open session of the EPSB at the March 1, 2010 meeting. This information is provided in summary form; an official record of the meeting is available in the permanent records of the Education Professional Standards Board (EPSB), 100 Airport Road, 3rd Floor, Frankfort, KY 40601*

### **Education Professional Standards Board (EPSB) Summary Minutes of the Regular Business Meeting EPSB Offices, 100 Airport Road, 3rd Floor Frankfort, Kentucky March 1, 2010**

#### **Call to Order**

Chair Lorraine Williams called the meeting to order at approximately 9:10 a.m.

#### **Roll Call**

The following members were present during the March 1, 2010 EPSB meeting: Lonnie Anderson, Frank Cheatham, Michael Dailey, John DeAtley, Cathy Gunn, Mary Hammons, Lynn May, Gregory Ross, Becky Sagan, Sandy Sinclair-Curry, Zenaida Smith, Bobbie Stoess, Tom Stull, Mark Wasicsko, Cassandra Webb, Lorraine Williams, and Cynthia York.

#### **Approval of January 11, 2010 Minutes**

*Motion made by Dr. Mark Wasicsko, seconded by Ms. Lynn May, to approve the minutes of the January 11, 2010 EPSB meeting with the noted correction on page 7 to add the undergraduate program for Campbellsville University as approved in motion 2010-004.*

**Vote:** *Unanimous*

#### **Amendment of March 1, 2010 Agenda**

*Motion made by Mr. Lonnie Anderson, seconded by Dr. Frank Cheatham, to amend the March 1, 2010 EPSB agenda to add Waiver B. Emergency Waiver of 16 KAR 5:040. Admission, Placement, and Supervision in Student Teaching.*

**Vote:** *Unanimous*

#### **Open Speak**

There were no requests for Open Speak.

#### **Report of the Executive Director**

Dr. Phillip Rogers stated that he appreciated the board's effort to attend the joint meeting with KDE and CPE in February.

#### **Report from the Council on Postsecondary Education**

Mr. John DeAtley reported on the recent events of CPE. Currently, CPE

\* is waiting to see if Kentucky is a finalist in the Race to the Top (RTTT) proposal.

\* is waiting to see the state budget before approving tuition rates.

## Agenda Book

- \* recently received an enrollment report showing that enrollment has increased by as much as 80% in some areas.
- \* approved a new Ed.D in Education Leadership at Morehead State University.
- \* along with KDE and the EPSB, will be hosting an Unbridled Learning Summit in Louisville on April 12<sup>th</sup>. The Summit will provide an overview of the common core standards and the process which will be employed to ensure that all Kentucky educators have a concrete understanding of how to apply these standards to improve student learning.

### Report from the Kentucky Department of Education (KDE)

Mr. Michael Dailey reported on the recent work of KDE.

- \* He praised the joint efforts of KDE, CPE, and the EPSB at the historic signing of the Common Core Standards where Kentucky was the first state to approve the standards.
- \* This week the finalists for the RTTT grant will be announced. If Kentucky is not awarded the grant this time, there is another opportunity in June or July.

### Legislative Update

Ms. Alicia Sneed gave a legislative update for the 2010 session. The update includes the following:

- \* On February 24<sup>th</sup>, the appointments of Becky Sagan, Lorraine Williams, Cassandra Webb, and Zenaida Smith were confirmed by the House.
- \* On March 16<sup>th</sup>, the confirmation resolutions of Mark Wasicsko and Cathy Gunn will go before the House Education Committee.
- \* March 1st is the last day for House bills to be posted.
- \* Currently, there are no active bills that directly affect the EPSB.

### Report of the Chair

#### Appointment to the Committee to Review Admissions and Clinical Experience for Pre-Service Programs

Chair Lorraine Williams appointed Mr. Tony Minton to the Committee to Review Admissions and Clinical Experience for Pre-Service Programs.

#### Reappointments to the Kentucky Advisory Council for Internships (KACI)

Chair Williams reappointed the following individuals to KACI: Diana Whitt, LuAnn Asbury, Dr. Richard Roberts, Judy Thomas, Dr. Sharon Brennan, and Linda Nickel.

### **Committee Reports**

Dr. Cathy Gunn announced that KACTE will be holding its spring conference on March 4<sup>th</sup> & 5<sup>th</sup> in Georgetown. She noted that all board members are invited and encouraged to attend.

#### Recusal and Abstaining During a Motion:

Ms. Lorraine Williams reminded the board of the rules for recusals. If board members have firsthand knowledge about a situation or are knowledgeable so that their input may influence the board, then they should recuse themselves from voting and not discuss the

## Agenda Book

matter. Dr. Mark Wasicsko asked if a board member should recuse if he/she has factual information that is not available to other board members. Board attorney Angela Evans answered in the affirmative, saying that a board member should recuse if he/she has firsthand knowledge about a situation. Mr. Michael Dailey asked if a board member should acknowledge his/her recusal at the beginning of board discussion or during the time the board votes. Ms. Evans stated that a board member should recuse when it is time to vote and explain the reason for recusing. Board members should also refrain from discussion at the moment they realize that they are familiar with the topic.

Chair Williams also discussed the importance of board members not abstaining from voting in situations where they may not agree with a certain statute or regulation, as this may prevent the board from moving forward in its work. She reminded the board that statutes may be changed by legislation and regulations may be changed by board action, and this may be an option that a conflicted board member may wish to discuss with the board during discussion.

### **Information/Discussion Items**

#### Awarded Contracts

Mr. Gary Freeland informed the board that a Memorandum of Understanding (MOU) was reached between the EPSB and Teradata Operations, Inc. through its Claraview Division (Claraview). This MOU allows for the EPSB P-20 data to be exchanged and reviewed by Claraview and establishes the parameters for the exchange. There is no cost or exchange of funds involved as a result of this agreement.

#### 16 KAR 6:010. Written Examination Prerequisites for Teacher Certification, Notice of Intent

Mr. Robert Brown reported on five issues that would require changes to 16 KAR 6:010.

##### *Issue 1:*

The Educational Testing Service (ETS) is in the process of transitioning the older NTE-scaled tests to the Praxis score scale. Therefore, the following test code and minimum competency score changes will be made to 16 KAR 6:010:

\* *Library Media Specialist (0310)* with NTE score of 640 will change to *Library Media Specialist (0311)* with minimum competency score of 156.

\* *Speech Communication (0220)* with NTE Score of 580 will change to *Speech Communication (0221)* with minimum competency score of 146.

\* *English to Speakers of Other Languages (0360)* with NTE Score of 620 will change to *English to Speakers of Other Languages (0361)* with minimum competency score of 157.

\* *Teaching Students with Visual Impairments (0280)* with NTE Score of 700 will change to *Teaching Students with Visual Impairments (0281)* with minimum competency score of 161.

##### *Issue 2:*

ETS recently developed French, German, and Spanish World Language tests and a new Business Education test. Because these are newly developed tests, national percentile

## Agenda Book

information and SREB performance data are not yet available. Therefore, staff recommended waiving a required passing score from September 1, 2010, through August 31, 2011, in order to gather performance data so that a recommended score can be implemented effective September 1, 2011.

### *Issue 3:*

In June 2009, the EPSB hosted a review of the *Health and Physical Education: Content Knowledge (0856)* test to determine whether to proceed with a Standard Setting Study (SSS) toward state adoption of the test as an option for those seeking certification in both Health and PE. As recommended by the review panel, the EPSB hosted a SSS for the *Praxis II (0856)* on January 14, 2010. Since 0856 will be a new test for Kentucky, EPSB staff recommended waiving a required minimum competency score for 0856 from September 1, 2010 through August 31, 2011. This will provide time to gather Kentucky performance data so that a score may be brought before the board to be effective September 2011.

### *Issue 4:*

ETS recently developed the *Teaching Reading (0204)* test. Since 0204 will be a new test for Kentucky, EPSB staff recommended waiving a required minimum competency score on this test from September 1, 2010 through August 31, 2011. This will provide time to gather Kentucky performance data so that a recommended minimum competency score can be brought before the board to be effective September 2011. Should the board approve this test, it would also be used as an option for teachers who wish to be deemed highly qualified to teach reading.

### *Issue 5:*

In June 2008, a SSS panel validated the newly developed Kentucky-specific Praxis II IECE test and recommended a minimum competency score of 158; however, the EPSB has established a framework that minimum competency scores fall between the 15th and 25th percentile. Staff recommends a minimum competency score of 166, which would place the score within this range.

Mr. Brown stated that these proposed changes will go before the board for final action at the May meeting.

Board discussion ensued regarding minimum competency scores. Mr. John DeAtley expressed his concern that the established framework for these cut scores is set as low as the 15<sup>th</sup> percentile. Dr. Rogers explained that the majority of EPSB tests have cut scores between the 21<sup>st</sup> – 25<sup>th</sup> percentiles. He added that it is less problematic to set the cut score at a lower level and then raise it later. Tests such as the IECE test are reevaluated in 5-10 years.

### 16 KAR 6:030. Examination Prerequisites for Principal Certification, Notice of Intent

Mr. Brown reported to the board that at the August 2009 board meeting, the EPSB waived the minimum score requirement of the School Leaders Licensure Assessment (SLLA) until Kentucky performance data for the new SLLA could be gathered and EPSB staff could convene a SSS to establish a recommended minimum score. The EPSB hosted a SSS on January 12, 2010. As a result of the SSS, staff recommended a minimum competency score of 160. This item will be brought before the board for final action at the May board meeting.

## Action Items

### Teacher Leader Master of Arts Program and Endorsement, Georgetown College

The committee commended the college for the following aspects of its proposal:

- Excellent description and integration of conceptual framework with the proposal
- Strong continuous assessment component
- Excellent evaluation of dispositions in the admissions process
- Emphasis on reflective practice with acknowledgement that standards are evolving
- Diversity is more broadly defined and embedded throughout the coursework
- Good use of current research and alignment between course content and resources
- Clear, well-organized, comprehensive program with matrices that provide a more coherent proposal

### **2010-012**

*Motion made by Dr. Cathy Gunn, seconded by Dr. Frank Cheatham, to approve the Teacher Leaders Master of Arts Program and Endorsement Proposal for Georgetown College.*

**Vote:** *Unanimous*

Approval of Educator Preparation Program Additions: Moderate and Severe Disabilities P-12 (additional certification option at Rank 1 level); Planned Program for Rank I, Georgetown College

### **Issue One:**

### **2010-13**

*Motion made by Dr. Mark Wasicsko, seconded by Mr. Michael Dailey, to approve the proposed Moderate and Severe Disabilities P-12 program preparation program addition for Georgetown College.*

**Vote:** *Unanimous*

### **Issue Two:**

### **2010-014**

*Motion made by Dr. Wasicsko, seconded by Mr. Dailey, to approve the proposed Planned Program for Rank I preparation program addition for Georgetown College.*

**Vote:** *Unanimous*

Dr. Rebecca Powell thanked Dr. Eve Proffitt and Dr. Kimberly Walters-Parker for their hard work on the programs while she was on sabbatical leave. She further thanked the board for the opportunity to provide such a program and said she believes this program can transform from the inside out.

## Agenda Book

### Western Kentucky University's (WKU) request to pilot a Teacher Residency Program

Dr. Marilyn Troupe reported that WKU requested to pilot GSKyTeach (Graduate Southern Kentucky Teach), an innovative residency program to prepare math and science teachers in partnership with Jefferson County. Out of 172 applications submitted, WKU was one of 28 applicants awarded a Teacher Quality Partnership Grant by the USDOE. This comprehensive program will use the cohort model along with specially trained master teachers and mentors. GSKyTeach includes rich clinical experiences and rigorous graduate studies in how PreK-12 students learn math and science.

Dr. Sam Evans stated that WKU is pleased to offer a program of this nature. Currently, WKU has a SKyTeach program at the undergraduate level. Both the SkyTeach and GSKyTeach programs are based on research described in *How Students Learn: History, Mathematics, and Science in the Classroom*, supported by the National Research Council of the American Academy of Sciences. Mr. DeAtley noted that this program is remarkable.

#### **2010-015**

*Motion made by Mr. John DeAtley, seconded by Ms. Sandy Sinclair-Curry, to approve WKU's request to pilot a Teacher Residency Program Proposal.*

**Vote:** *Unanimous*

Dr. Rogers stated that the RTTT proposal contains a significant amount of money that would help expand the residency model to three universities across the state. Ms. Lynn May commended WKU for developing such an outstanding program.

#### **Waiver**

16 KAR 6:010, Written Examination Prerequisites for Teacher Certification, Request to Waive Language Pertaining to Secondary Social Studies (Grades 8-12) Certification Assessment Requirements

#### **2010-016**

*Motion made by Mr. DeAtley, seconded by Dr. Gunn, to accept the FTCE Social Science 6-12 in place of the Praxis II (0081) but not to accept the FTCE Social Science 6-12 in place of the Praxis II (0083).*

**Vote:** *Unanimous*

Emergency Waiver of 16 KAR 5:040. Admission, Placement, and Supervision in Student Teaching

#### **2010-017**

*Motion made by Ms. Lynn May, seconded by Mr. Lonnie Anderson, to approve the proposed emergency waiver of 16 KAR 5:040, Section 6 (2) & 7(2)(a) for the spring 2010 semester only on an as-needed basis for those colleges and universities that present valid documentation.*

**Vote:** *Unanimous*

#### **Board Comments**

Dr. Wasicsko stated that he and some other constituents are concerned about the shortage of science teachers in Kentucky. He asked if a General Science certificate would be a

## Agenda Book

feasible way to bring more science teachers into the classroom. Mr. Mike Carr explained that 10 years ago the EPSB decided to eliminate this type of certificate, and some states have had problems with teachers with a broad science certificate being deemed highly qualified. Mr. Carr further stated that the shortage may be remedied with a recently amended regulation that will allow individuals to add science areas to their certificates once they have completed an internship in their prepared area of science, have the requisite coursework, and have passed the Praxis. Mr. Carr asked the board to see how this new option works as it has been well received by the districts. Dr. Rogers stated that he will consult with EPSB staff and KDE regarding this issue.

### **DISCIPLINARY MATTERS: MINUTES OF CASE REVIEW March 1, 2010**

*Motion made by Ms. Becky Sagan, seconded by Mr. Gregory Ross, to go into closed session for the purpose of discussing proposed or pending litigation in accordance with KRS 61.810(1) (c) & (j).*

**Vote:** *Unanimous*

*Motion made by Ms. Bobbie Stoess, seconded by Ms. Lynn May, to return to open session.*

**Vote:** *Unanimous*

The following board members concurred with the actions as listed below with the noted exceptions:

Frank Cheatham, Lorraine Williams, Tom Stull, Mary Hammons, John DeAtley, Lonnie Anderson, Zenaida Smith, Bobbie Stoess, Michael Dailey, Mark Wasicsko, Lynn May, Cassandra Webb, Cynthia York, Cathy Gunn, Becky Sagan, Sandra Sinclair-Curry, and Gregory Ross.

Attorneys present were Alicia A. Sneed, Gary A. Stephens, Katie Morgan, and Angela Evans.

### **INITIAL CASE REVIEW**

<b><u>Case Number</u></b>	<b><u>Decision</u></b>
0911547	Admonish
0911562	Admonish
0911602	Admonish
0912737	Hear
0911530	Admonish
0911581	Defer for proof
0912654	Dismiss
0912741	Dismiss
0912695	Defer for proof
0912648	Defer for proof
0912700	Defer
0911558	Dismiss

## Agenda Book

0911569	Hear
0911592	Defer for proof
0911544	Admonish
0911594	Hear
0912662	Hear
0912746	Defer for proof
0911556	Defer for proof
0911585	Hear
0911554	Defer for proof
0912652	Defer for proof
0912725	Defer
0912658	Defer for proof
0912640	Hear
0911577	Hear
0912629	Hear
0911624	Hear
0911560	Admonish
0911598	Admonish
0911538	Hear
0912691	Hear
0912627	Hear
0911590	Hear
0911572	Hear
0912660	Hear
0912676	Hear
0912656	Hear
0911618	Defer for proof
0911540	Hear
0911583	Hear
0911614	Defer for proof
0911616	Hear
0912633	Hear
0612296	Dismiss
0905274	Dismiss

### **Character/Fitness Review**

<u>Case Number</u>	<u>Decision</u>
1010	Approve
1011	Approve
1014	Approve
1015	Approve
105	Approve
106	Approve
108	Approve
1021	Approve
1022	Approve
1033	Approve
1039	Approve

## Agenda Book

1040	Approve
1043	Approve
1047	Approve
1019	Approve
09975	Approve
1045	Approve
09970	Approve
1020	Approve
1012	Approve
1055	Approve
1054	Approve
1058	Approve
1052	Defer for proof
1068	Approve
1071	Approve
1075	Approve
09968 & 09980	Approve
1078	Approve

### Agreed Orders

#### Case Number

#### Decision

0808962 (Andrew Bailey) Accept Agreed Order dismissing case number 08-08962. Respondent agrees that on or before June 1, 2010, he shall present written evidence to the Board that he has successfully completed twelve hours of professional development/training in maintaining appropriate teacher/student boundaries both online and in the classroom, approved by the Board and at his own expense. Should Respondent fail to satisfy this condition, his certificate shall be automatically suspended until this condition is met.

**Vote:** *Unanimous*

0808980 (Michael Marshall) Accept Agreed Order suspending Respondent's certificate from September 22, 2008 to June 30, 2010. Respondent shall surrender the original and all copies of this certificate immediately, by first class mail or personal delivery, to the Education Professional Standards Board, 100 Airport Road, Third Floor, Frankfort, Kentucky 40601. Reinstatement of Respondent's certificate is conditioned upon the following. Respondent shall provide written evidence to the Board that he has successfully completed 12 hours of professional development/training in ethics and professionalism, approved by the Board and at his own expense.

## Agenda Book

Should Respondent fail to satisfy this condition, his certificate shall remain suspended until the condition is met.

Respondent agrees that upon reinstatement, his certificate shall be subject to the following conditions.

1. Respondent shall not handle money for any school sponsored or related extracurricular clubs, events and/or sports teams for two years from the date of this Order.
2. Respondent shall not be convicted of any crime, misdemeanor or felony, for the life of his certificate. Should Respondent violate either of these conditions, the Board may automatically suspend his certificate for a period of two years and seek additional sanctions pursuant to KRS 161.120.

**Vote:** *Unanimous (Ms. Sagan recused)*

0605110 (Dianne Murrell)

Accept Agreed Order in which Respondent voluntarily surrenders her certificate. Respondent shall surrender the original and all copies of this certificate immediately, by first class mail or personal delivery to the Education Professional Standards Board, 100 Airport Road, Third Floor, Frankfort, Kentucky 40601. Because Respondent has retired from teaching and does not intend to return to a position requiring certification, she agrees that she shall neither renew nor apply for a teaching certificate in the Commonwealth of Kentucky.

**Vote:** *Unanimous (Ms. Sinclair-Curry recused)*

0811116 (Kelly Harrod)

Accept Agreed Order suspending Respondent's certificate for a period of two (2) years, beginning retroactively on December 1, 2008 through December 1, 2010. Upon acceptance of this agreement by the Board, Respondent shall surrender the original and all copies of her certificate, by first class mail or personal delivery, to the Education Professional Standards Board, 100 Airport Road, Third Floor, Frankfort, Kentucky 40601. At the end of the two (2) year period, issuance of any certificate to Respondent, or on her behalf, in addition to any educational requirements, is subject to the following conditions:

1. Respondent shall undergo a comprehensive alcohol/substance abuse assessment by a Kentucky licensed and/or certified chemical dependency

## Agenda Book

counselor, as approved by the Board, and shall present written evidence to the Board that she has complied with the assessment process and has successfully completed any and all treatment recommendations. If Respondent is not able to complete all treatment recommendations by December 1, 2010, she shall submit quarterly written progress reports from her chemical dependency counselor until such time as the counselor releases her from treatments. Any expense for the assessment, treatment and/or written reports shall be paid by Respondent;

2. Respondent shall submit written evidence to the Board from her assessing physician and/or certified chemical dependency counsel certifying that Respondent is fit to return to the classroom; and

3. Respondent shall provide written evidence to the Board that she has successfully completed twelve (12) hours of professional development/training in professional ethics, as approved by the Board. Any expense incurred for said training shall be paid by Respondent.

If Respondent fails to satisfy these conditions, the Division of Certification shall not issue any certificate to Respondent or on her behalf. If Respondent does satisfy these conditions, any certificate issued to Respondent, or on her behalf, shall be on probation for a period of two (2) years from the date of issuance and subject to the following probationary conditions:

1. Respondent shall submit to random drug testing and shall provide written proof to the Board that she received no positive drug tests during the probationary period. Any expense for the drug testing shall be paid by Respondent. If any drug test is positive for illegal substances or is in excess of therapeutic levels generally acceptable in the medical community, her certificate shall be automatically suspended for a period of two (2) years and shall be subject to additional sanction by the Board pursuant to KRS 161.120; and

2. Respondent shall not be convicted of any crime involving the use or possession of any controlled substance or alcohol, including driving under the influence, during the probationary period. Respondent shall submit her current criminal

## Agenda Book

record, as prepared by the Kentucky State Police, by December 31st of each year of the probationary period. Should Respondent violate this condition, her certificate shall be automatically suspended for a period of two (2) years and shall be subject to additional sanction by the Board pursuant to KRS 161.120.

**Vote:** *Unanimous (Ms. Sagan recused)*

0904165 (Gaylena McCoy)

Accept Agreed Order which states as follows: Prior to accepting any teaching position in the Commonwealth of Kentucky, in any capacity, Respondent shall submit written proof to the Board that she has completed six (6) hours of professional development/training in the area of professional ethics, as approved by the Board. Any expense required for said training shall be paid by Respondent. Should Respondent fail to satisfy this requirement, her certificate shall be automatically suspended until Respondent completes the required training and provides the appropriate written proof to the Board.

Further, upon accepting a teaching position in the Commonwealth of Kentucky, in any capacity, Respondent's certificate, including any future endorsements or new areas of certification, shall be on probation for a period of two (2) years and subject to the following probationary conditions:

1. Respondent shall not receive any criminal convictions during the probationary period. By July 1st of each year of the probationary period, Respondent shall submit to the Board a copy of her current criminal record, as prepared by the Kentucky State Police. Any expense in meeting this condition shall be paid by Respondent. If Respondent violates this condition, her certificate shall be automatically suspended for a period of one (1) year.

2. Respondent shall not receive any disciplinary action involving theft or fiscal mismanagement from any school district in which she is employed during the probationary period. "Disciplinary action" is defined as any admonishment/reprimand, suspension, or termination issued by any school district in the Commonwealth of Kentucky and upheld, if requested, by either the tribunal and/or arbitration process. If Respondent violates this condition, her certificate shall be automatically

## Agenda Book

suspended for a period of one (1) year.

3. Respondent shall not take any position in a Kentucky school district or in a Kentucky school or education related agency during the probationary period that allows her any access to money or financial accounts. If Respondent is required to collect money from students in a classroom setting, Respondent shall establish safety protocols with the school principal to ensure any funds collected are properly distributed. These safety protocols shall be filed with the Board. Respondent shall file the safety protocols and documentation substantiating that she does not have any educational position that allows her any access to money or financial accounts upon taking any new school position and with any application for renewal of her certificate or additional certification. If Respondent violates this condition, any and all certificates issued to Respondent shall be automatically permanently revoked.

Respondent is aware that should she violate KRS 161.120 either during or following the probationary period the Board shall initiate new disciplinary action and seek additional sanctions.

**Vote:** *Unanimous*

0903121 (Retha Turner)

Accept Agreed Order dismissing Agency Case No. 09-03121 on the condition that Respondent submit written proof to the Board that she has completed a professional development course on the Professional Code of Ethics for Kentucky Certified Personnel, as approved by the Board, by December 31, 2010. Any expense required for said training shall be paid by Respondent. If Respondent fails to meet this condition, agency case no. 09-03121 shall not be dismissed and Respondent's certificate shall be automatically suspended until she completes the required training and provides the appropriate written proof to the Board.

**Vote:** *Unanimous*

08010049 (Amanda Colpo)

Accept Agreed Order admonishing Respondent for slapping the hand of a student. The Board reminds Respondent that she has a duty to take reasonable measures to protect the health, safety, and emotional well-being of her students. The Board

## Agenda Book

will not tolerate any further incidents of misconduct from Respondent.

Respondent has submitted written proof to the Board that in January 2010 she completed five and one half (5 ½) hours of professional development/training in the area of classroom management and proper discipline techniques for correcting exceptional children, as approved by the Board.

**Vote:** *Unanimous*

07-0106 (Jay Holland)

Accept Agreed Order in which Respondent voluntarily, knowingly, and intelligently surrenders his teaching certificate and agrees to not apply for, nor be issued, a teaching or administrative certificate in the Commonwealth of Kentucky at any time in the future. Upon the acceptance of this agreement by the Board, Respondent shall immediately surrender the original and all copies of his certificate, by personal delivery or first class mail, to the Education Professional Standards Board, 100 Airport Road, 3rd Floor, Frankfort, Kentucky 40601.

**Vote:** *Unanimous*

05-08157 (Stanley Fawbush)

Accept Agreed Order admonishing Respondent for using inappropriate physical force against a student. The Board reminds Respondent that he has a duty to take reasonable measures to protect the health, safety, and emotional well-being of students. The Board will not tolerate any further incidents of misconduct from Respondent.

Respondent is currently a retired educator. Prior to accepting a teaching and/or administrative position, in any school district in the Commonwealth of Kentucky, in any capacity, Respondent shall submit written proof to the Board that he has completed twelve (12) hours of professional development/training in classroom management and proper discipline techniques, and six (6) hours of professional development/training in the area of anger management, as approved by the Board. Any expense required for said training shall be paid by Respondent. Should Respondent fail to satisfy this condition, his certificate shall be automatically suspended until he completes the required training and provides the appropriate written proof to the Board.

## Agenda Book

Upon accepting a teaching and/or administrative position, in any school district in the Commonwealth of Kentucky, in any capacity, Respondent shall receive no disciplinary action involving assault and/or inappropriate discipline techniques for a period of two (2) years. For the purposes of this Order, the parties agree that “disciplinary action” is defined as any suspension, termination, or public reprimand issued by any school district in the Commonwealth of Kentucky and upheld, if requested, by either the tribunal and/or arbitration process. Should Respondent fail to satisfy this condition, his certificate shall be automatically suspended for a period of one (1) year and subject to additional sanctions by the Board pursuant to KRS 161.120.

**Vote:** *Unanimous (Ms. Stoess recused)*

06-11264 (Rick Jones)

Accept Agreed Order permanently revoking Respondent’s certificate. Respondent shall neither apply for, nor be issued, a teaching and/or administrative certificate in the Commonwealth of Kentucky at any time in the future. Upon the acceptance of this agreement by the Board, Respondent shall immediately surrender the original and all copies of his certificate, by personal delivery or first class mail, to the Education Professional Standards Board, 100 Airport Road, 3rd Floor, Frankfort, Kentucky, 40601.

**Vote:** *Unanimous*

0805830 (Mark Morris)

Accept Agreed Order revoking Respondent’s teaching certificate for a period of two (2) years beginning retroactively on July 21, 2008 through July 21, 2010. Upon the acceptance of this agreement by the Board, Respondent shall immediately surrender the original and all copies of his certificate, by personal delivery or first class mail, to the Education Professional Standards Board, 100 Airport Road, 3rd Floor, Frankfort, Kentucky 40601.

At the end of the two (2) year revocation period, issuance of any certificate to Respondent, or on his behalf, in addition to any educational requirements, is subject to the following conditions:

## Agenda Book

1. Respondent shall submit written proof to the Board that he has complied with all the conditions of the conditional discharge entered into on July 21, 2008 in Hickman District Court, Case No. 08-M-00037;
2. Respondent shall submit to the Board a Kentucky State Police criminal records check indicating that he has received no criminal convictions involving a minor since July 21, 2008; and
3. Respondent shall provide written proof to the Board that he has received twelve (12) hours of professional development/training in the area of professional ethics and teacher/student boundaries, as approved by the Board.

Any expense in meeting these conditions shall be paid by Respondent. If Respondent fails to satisfy these conditions, the Division of Certification shall not issue any certificate to Respondent or on his behalf. If Respondent does satisfy these conditions, any certificate issued to Respondent, or on his behalf, shall be subject to the following probationary conditions for a period of two (2) years.

1. Respondent shall not be convicted of any crime involving a minor. By July 1st of each year of the probationary period, Respondent shall submit a copy of his current criminal record, as prepared by the Kentucky State Police. Any expense in meeting this condition shall be paid by Respondent.

2. Respondent shall receive no disciplinary action involving student/teacher boundaries or an inappropriate relationship with a student from any school district in which he is employed.

“Disciplinary action” is defined as any suspension, termination, or public reprimand issued by any school district in the Commonwealth of Kentucky and upheld, if requested, by either the tribunal and/or arbitration process.

Should Respondent violate either of these conditions, his certificate shall be automatically suspended for a period of two (2) years and subject to additional sanctions by the Board pursuant to KRS 161.120.

**Vote:** *Unanimous*

06-08205 (James Woods)

Accept Agreed Order admonished Respondent for failing to maintain the dignity and the integrity of the profession. As an educator, the Commonwealth

## Agenda Book

and parents entrust children in Respondent's care; therefore, he must strive to ensure that he exemplifies good judgment both in his professional and personal life in order to maintain the public's trust in both him and the profession.

Respondent's certificate, and any future endorsements or new areas of certification, shall be subject to the following probationary conditions;

1. Respondent shall provide proof that he has completed twelve (12) hours of ethics training approved by the Board prior to December 31, 2010. Any expense for said training shall be paid by Respondent;

2. Respondent shall provide proof that he has completed a professional development or training in Classroom Management approved by the Board with a focus on de-escalating student behavior prior to December 31, 2010. Any expense for the training or professional development shall be paid by Respondent; and

3. With each future application for renewal or additional certification, Respondent shall submit a current state criminal background check.

By entering into this agreement, Respondent agrees that should he fail to satisfy the above conditions, his certificate shall be automatically suspended until Woods provides written proof to the Board that he has completed the conditions.

Respondent is aware that should he violate KRS 161.120 during this period of probationary conditions, the Board shall initiate new disciplinary action and seek additional sanctions.

**Vote:** *Unanimous*

0906430 (Brooke Rohrs)

Accept Agreed Order admonishing Respondent for failing to take reasonable measures to protect the health, safety, and emotional well-being of students. Each educator has the responsibility to seek professional development in classroom management and to stay current on proper disciplinary methods. The Board will not tolerate any further incidents of this nature by Respondent.

Respondent shall complete six (6) hours of Board approved professional development/training in the area of ethics which shall focus instruction on appropriate disciplinary techniques. Respondent

## Agenda Book

shall submit written proof of such completion to the Board. Any expense for required training shall be paid for by the Respondent.

Respondent shall provide verification to the Board that she has completed a course on bloodborne pathogens.

Failure to comply with the mandates set forth in this Agreed Order by January 1, 2011, will result in an automatic suspension of Respondent's teaching certificate, and it will remain suspended until she completes the requirements.

**Vote:** *Unanimous*

0804696 (Emma Brown)

Accept Agreed Order dismissing Case Number 08-04696 without prejudice conditioned upon Respondent providing written proof to the Board that she has received three (3) hours of Board approved professional development or training that reviews the teacher code of ethics and/or appropriate classroom disposition. Any expense for said training shall be paid by Respondent.

**Vote:** *Unanimous*

0907457 (Stevon Sampson)

Accept Agreed Order which states that Respondent shall not apply for nor have applied on his behalf any emergency certificate, including the application for emergency substitute certification. Respondent shall only be permitted to apply for temporary provisional or statement of eligibility if he has met the educational requirements. In addition, within one (1) year of being granted temporary provisional or statement of eligibility, Respondent must present written evidence to the Board that he has completed professional development or training in teacher ethics and professionalism to maintain his certification. Any costs associated with such training shall be the responsibility of the Respondent.

**Vote:** *Unanimous*

0907445 (Melissa Arnett)

Accept Agreed Order permanently revoking Respondent's certificate. Respondent shall neither apply for, nor be issued, a teaching and/or administrative certificate in the Commonwealth of Kentucky at any time in the future.

Respondent shall surrender the original certificate and all copies of his certificate to the EPSB, by

## Agenda Book

delivering or mailing them to 100 Airport Road, 3<sup>rd</sup> Floor, Frankfort, Kentucky 40601.

**Vote:** *Unanimous*

060342 (Allen Green)

Accept Agreed Order permanently revoking Respondent's teaching certificate. Respondent shall neither apply for, nor be issued, a teaching and/or administrative certificate in the Commonwealth of Kentucky at any time in the future.

**Vote:** *Unanimous*

0905281 (Robin Summer)

Accept Agreed Order retroactively suspending Respondent's certificate for a period of two (2) days beginning May 4, 2009. Respondent will receive 12 hours of boundary training within six months and failure to complete the 12 hours of training will result in an automatic suspension of her certificate until said hours are completed.

Respondent has the duty to maintain the dignity and integrity of the profession and she failed in that duty when she engaged in inappropriate text messages with a student. The Board will tolerate no further misconduct of this nature by Respondent.

**Vote:** *Unanimous*

06-12308 (Earl Barlow)

Accept Agreed Order dismissing Agency Case Number 06-12308.

**Vote:** *Unanimous*

0806882 (Don Rodgers)

Accept Agreed Order revoking Respondent's certificate. Respondent shall immediately surrender the original and all copies of this certificate to the Education Professional Standards Board, 100 Airport Road, Third Floor, Frankfort, Kentucky 40601. Respondent shall neither apply for nor be issued a teaching certificate in the Commonwealth of Kentucky for the remainder of his lifetime.

**Vote:** *Unanimous*

0904173 (John Faine)

Accept Agreed Order reprimanding Respondent as follows: Respondent is required to act in accordance with the Code of Ethics governing Kentucky Schools Certified Personnel. Respondent failed in that duty when he engaged in inappropriate conduct with students in his classroom. The Board will not tolerate any further misconduct of this nature by Respondent. Further, Respondent will be subject to

## Agenda Book

a two (2) year probation which prohibits him from receiving any appealable disciplinary action during that two year period. Should he receive any such disciplinary action, his certificate will be suspended automatically until that issue is resolved. Further, Respondent agrees that should he return to teaching in elementary, middle or high school, he will notify the employing school that he is on probation with the Kentucky Education Professional Standards Board.

**Vote:** *Unanimous*

- 07-0109 (Rebecca Weldon) Accept Agreed Order which states as follows:
1. Prior to renewing Respondent's Kentucky Teaching Certificate, Respondent shall submit written proof to the board that she has successfully completed three (3) hours of CATS training and six (6) hours of ethics training. Any expense incurred for said training shall be paid by Respondent.
  2. Respondent shall receive no disciplinary action for violations involving state required student assessments from any school district in which she is employed for a period of two (2) years, beginning the day the Board accepts this Agreed Order. "Disciplinary actions" is defined as any public reprimand, suspension, or termination issued by any school district and upheld, if requested, by either a tribunal and/or arbitration process.
  3. Respondent agrees that, should she fail to satisfy any of the above conditions, her certificate shall be automatically suspended for a period of six (6) months and subject to additional sanctions by the Board pursuant to KRS 161.120.
  4. The Board reminds Respondent that she has a duty to follow all administrative procedures related to student testing for the well-being of the students and for the integrity of the testing process. It should be noted that the *Administration Code for Kentucky's Educational Assessment Program* is very specific and very clear as to the appropriate assistance a teacher may provide to a student.

**Vote:** *Unanimous (Mr. Dailey recused)*

- 06-07187 (Darrell Deloach) Accept Agreed Order dismissing Agency case number 06-07187 without prejudice based on Respondent's subsequent successful completion of professional development in the PEAK Summer

## Agenda Book

Institute of Effective Instruction as well as the Champs classroom management program.

**Vote:** *Unanimous*

0906434 (Katherine Southerland) Accept Agreed Order admonishing Respondent for exercising poor professional judgment, and for failing to uphold the dignity and integrity of the teaching profession. An educator has a duty to take reasonable measures to protect the health, safety, and emotional well-being of students. When an educator fails to properly supervise students during an overnight trip students are at risk for both physical and emotional harm. Leaving an overnight trip, without first notifying the principal or making alternative arrangements, is simply unacceptable. The Board further reminds Respondent that, as an educator, she must maintain a professional approach with parents and be cognizant of her duty to parents under the Professional Code of Ethics. The Board will not tolerate any further incidents of misconduct from Respondent.

Respondent shall submit written proof to the Board that she has completed twelve (12) hours of professional ethics training, as approved by the Board, by June 1, 2011. Any expense required for said training shall be paid by Respondent. Should Respondent fail to satisfy this requirement, her certificate shall be automatically suspended until she completes the required training and provides the appropriate written proof to the Board.

Further, Respondent's certificate, including any future endorsements or new areas of certification, shall be on probation for a period of two (2) years, commencing from the date this Order is accepted by the Board, and subject to the following probationary conditions:

1. By August 1st of each year of the probationary period Respondent shall prepare and submit to the principal of the school in which she is employed as a teacher and/or administrator, the principal of the school(s) in which she is employed as a coach, if any, and the Board a letter certifying that she has read and understands the district's trip procedures and agrees to comply with said procedures.
2. By June 1st of each year of the probationary period, Respondent shall submit to the Board a

## Agenda Book

letter prepared by her outlining all of the school related trips Respondent attended during the previous school year, including field, over-night, sports and extracurricular trips, if any, and certifying that she complied with all trip procedures and safety protocols. Said letter shall be signed by both the principal of the school in which she is employed as a teacher and/or administrator, and the principal of the school(s) in which she is employed as a coach, if any, prior to its submission to the Board. If, after due diligence, Respondent is unable to obtain one of the aforementioned principal's signatures, Respondent shall submit said letter to the appropriate central office staff person with the authority to bind the district and/or the school. Should Respondent violate either of the above conditions, her certificate shall be automatically suspended until she prepares the required letters and submits said letters to the required persons and the Board. Respondent is aware that, should she violate KRS 161.120 either during or following the probationary period, the Board shall initiate a new disciplinary action and seek additional sanctions.

### **Vote:** *Unanimous*

07-0467 (Joseph Sullivan)

Accept Agreed Order revoking Respondent's certificate for a period of five (5) years beginning retroactively on March 9, 2007 through March 9, 2012. Upon acceptance of this agreement by the Board, Respondent shall immediately surrender the original and all copies of his certificate, by personal delivery or first class mail, to the Education Professional Standards Board, 100 Airport Road, 3rd Floor, Frankfort, Kentucky 40601.

At the end of the five (5) year revocation period, the issuance of any certificate to Respondent, or on his behalf, in addition to any educational requirements, is subject to the following conditions:

1. Respondent shall submit to the Board a Kentucky State Police criminal records check indicating that he has received no criminal convictions involving a minor; and
2. Respondent shall submit to the Board an official transcript evidencing that he has successfully completed a graduate course in professional ethics at a Kentucky College or University.

Any expense in meeting these conditions shall be paid by Respondent. If Respondent fails to satisfy

## Agenda Book

the above conditions, the Division of Certification shall not issue any certificate to Respondent or on his behalf. If Respondent does satisfy the above conditions, any certificate issued to Respondent, or on his behalf, shall be subject to the following probationary conditions for a period of ten (10) years:

1. Respondent shall not be convicted of any crime involving a minor. By July 1st of each year of the probationary period, Respondent shall submit a copy of his current criminal record, as prepared by the Kentucky State Police. Any expense in meeting this condition shall be paid by Respondent.

2. Respondent shall receive no disciplinary action involving student/teacher boundaries or an inappropriate relationship with a student from any school district in which he is employed during the probationary period. "Disciplinary action" is defined as any suspension, termination, or public reprimand issued by any school district in the Commonwealth of Kentucky and upheld, if requested, by either the tribunal and/or arbitration process.

Should Respondent violate either of these conditions, his certificate, including any future endorsements and/or areas of certification, shall be automatically revoked for the remainder of the probationary period and subject to additional sanctions by the Board pursuant to KRS 161.120.

**Vote:** *Unanimous*

0804792 (Susan Jones)

Accept Agreed Order which states that Respondent's Temporary Provisional Certificate for Moderate/Severe Disabilities, All Grades, expired on December 31, 2008. Respondent agrees not to apply for, nor be issued, a certificate for moderate/severe disabilities at any time in the future.

**Vote:** *Unanimous*

08121198 (Carol Hodge)

Accept Agreed Order retroactively suspending Respondent's certificate for five (5) days from August 31, 2007 through September 4, 2007. Upon acceptance of this agreement by the Board, Respondent shall immediately surrender the original and all copies of her certificate, by personal

## Agenda Book

delivery or first class mail, to the Education Professional Standards Board, 100 Airport Road, 3rd Floor, Frankfort, Kentucky 40601, so that the retroactive suspension can be noted on Respondent's original certificate and any copies. Respondent will be allowed to work while the original certificate is in transit to and from and in possession of the Board.

This settlement agreement is expressly condition upon the following:

1. Respondent has submitted written proof to the Board that she has been assessed by a state certified mental health counselor approved by the Board and she is competent to fulfill her duties as an educator. Respondent shall continue to provide annual written progress reports to the Board from her mental health counselor until she has been released from treatment by the counselor. Any expense required for the treatment and/or reports shall be paid by Respondent.

2. Respondent has submitted written proof to the Board that she has completed a three (3) hour professional development course on the Administration Code for Kentucky's Educational Assessment Program.

3. Respondent shall receive no disciplinary action involving CATS, KCCT and/or writing portfolio violations from any school district in which she is employed for a period of one (1) year, commencing the day the Board accepts this Agreed Order. "Disciplinary action" is defined as any suspension, termination, or public reprimand issued by any school district in the Commonwealth of Kentucky and upheld, if requested, by either the tribunal and/or arbitration process. Should Respondent fail to satisfy this condition, her certificate shall be automatically suspended for a period of six (6) months and subject to additional sanctions by the Board pursuant to KRS 161.120.

**Vote:** *Unanimous (Mr. Dailey recused)*

08101054 (Kenya Natsis)

Accept Agreed Order suspending Respondent's certificate, including any and all endorsements, retroactively for a period of five (5) days, from July 1, 2009 through July 5, 2009. Upon acceptance of this agreement by the Board, Respondent shall immediately surrender the original and all copies of her certificate, by personal delivery or first class

## Agenda Book

mail, to the Education Professional Standards Board, 100 Airport Road, 3rd Floor, Frankfort, Kentucky 40601, so that the retroactive suspension can be noted on Respondent's original certificate and any copies. Respondent will be allowed to work while the original certificate is in transit to and from and in possession of the EPSB.

Respondent shall provide written proof to the Board that she has received three (3) hours of CATS training and twelve (12) hours of professional ethics training, as approved by the Board, by December 31, 2010. Any expense incurred for said training shall be paid by Respondent. Should Respondent violate this condition, her certificate shall be automatically suspended until she completes the required training and provides the appropriate written proof to the Board.

**Vote:** *Unanimous (Mr. Dailey recused)*

07-0458 (J.C. Lucas)

Accept Agreed Order which states as follows:  
Respondent shall neither apply for nor be issued any type of teaching and/or administrative certificate, including emergency, probationary, and temporary provisional certificates, until he has completed all educational and assessment requirements necessary for teacher certification in Kentucky. The Board shall not approve Respondent for any type of alternative certification option.

The issuance of any Kentucky teaching and/or administrative certificate to Respondent, or on his behalf, is expressly conditioned upon Respondent providing at the time of application, in addition to proof of any academic requirements necessary for certification, written evidence to the Board of the following:

1. With his application, Respondent shall supply the Board with a current national and state criminal background check. Respondent shall continue to include a current national and state background check with any and all applications for teaching and/or administrative certificates in the Commonwealth of Kentucky submitted at any time in the future. Any expense required for the criminal background check shall be paid by Respondent.
2. Respondent shall provide written proof to the Board that he has completed twelve (12) hours of

## Agenda Book

professional development/training in the areas of sexual harassment and culture competency, as approved by the Board. Any expense required for said training shall be paid by Respondent.

3. Respondent shall provide written proof to the Board that he has completed a professional development course on the Professional Code of Ethics for Kentucky Certified Personnel, as approved by the Board. Any expense required for said training shall be paid by Respondent.

Upon issuance, Respondent's certificate shall be on probation for a period of three (3) years from the date of issuance and, during the three (3) year probationary period, Respondent shall not receive any disciplinary involving student/teacher boundaries and/or unprofessional behavior from any school district in which Respondent is employed. "Disciplinary action" is defined as any suspension, termination, or public reprimand issued by any school district in the Commonwealth of Kentucky and upheld, if requested, by either the tribunal and/or arbitration process. Should Respondent violate this condition, Respondent's certificate shall be automatically suspended for a period of one (1) year and subject to additional sanctions by the Board pursuant to KRS 161.120.

**Vote:** *Unanimous*

0907461 (Jackie Wisman)

Accept Agreed Order retroactively suspending Respondent's Professional Certificate for Instructional Leadership – Principal, All Grades, Level 2 for a period of five (5) days, from July 1, 2009 through July 5, 2009. Upon acceptance of this agreement by the Board, Respondent shall immediately surrender the original and all copies of his Professional Certificate for Instructional Leadership, by hand-delivery or first class mail, to the Education Professional Standards Board, 100 Airport Road, Third Floor, Frankfort, Kentucky, 40601. Respondent's certificate shall be returned to him in a prompt and timely manner. Respondent will be allowed to work while the original certificate is in transit to and from and in possession of the Board. Respondent's Professional Certificate for Instructional Leadership – Principal, All Grades, Level 2 shall be on probation for a period of one (1) year, commencing the day the Board accepts this

## Agenda Book

Order, and subject to the following probationary conditions:

1. Respondent shall submit written proof to the Board that he has completed a professional development course in the area of professional ethics, with an emphasis on an administrator's duties and responsibilities, as approved by the Board, by the end of the probationary period. Any expense required to satisfy this condition shall be paid by Respondent. Should Respondent fail to satisfy this condition, his certificate shall be automatically suspended until he completes the required training and provides the appropriate written proof to the Board.
2. Respondent shall receive no disciplinary action involving unprofessional behavior or neglect of duty from any school district in which he is employed during the probationary period. "Disciplinary action" is defined as any suspension, termination, or public reprimand issued by any school district in the Commonwealth of Kentucky and upheld, if requested, by either the tribunal and/or arbitration process. Should Respondent fail to satisfy this condition, his certificate shall be automatically suspended for a period of one (1) year and possibly subject to additional sanctions by the Board pursuant to KRS 161.120.

**Vote:** *Unanimous*

*Motion made by Mr. DeAtley, seconded by Mr. Stull, to adjourn the meeting.*

**Vote:** *Unanimous*

Meeting adjourned at 2:20 p.m.

Next Meeting:        May 17, 2010  
                             9:00 AM  
                             EPSB Board Room  
                             Frankfort, Kentucky



**EDUCATION PROFESSIONAL STANDARDS BOARD  
STAFF NOTE**

**Information Item**

**Information Item:**

To inform the EPSB about contracts and amendments which are in the process of being completed and signed by the executive director since the previous EPSB board meeting.

**Applicable Statutes and Regulation:**

KRS 161.028 (1) (v) (d)

KRS 161.017 (3)

**Applicable Goal:**

Goal 5: The EPSB shall be managed for both effectiveness and efficiency, fully complying with all statutes, regulations, and established federal, state, and agency policies.

**Background:**

KRS 161.028 (1) (v) authorizes the EPSB to enter into contracts and KRS 161.017 (3) stipulates that with board approval the executive director may enter into agreements "...to enlist assistance to implement the duties and responsibilities of the board."

- The following contracts were competitively bid within the last two (2) years. The RFPs included a provision for renewal of these contracts for another two-year period, as long as it is in the best interest of the Commonwealth. All of these contracts have been included in the EPSB biennial budget request. Assuming no further budget reductions from the proposed Senate version of the budget, there will be adequate funding to continue these services at the following recommended amounts. These contracts are issued for a two-year period coinciding with the biennial budget period.

<b>VENDOR AMOUNT</b>	<b>SERVICES</b>	<b>FUNDING</b>	
SREB	Kathy O'Neill consulting services	General Funds	\$20,000
Courtney Baxter	Attorney	Restricted Funds	\$29,989
Katie Morgan	Attorney	Restricted Funds	\$88,066
Whitney Asher-Crowe	Attorney	Restricted Funds	\$88,066
Cynthia Grohmann	Attorney	Restricted Funds	\$76,605
Boehl, Stopher, & Graves	Appellate Attorneys	Restricted Funds	\$54,000
Bob Pace	Investigator	Restricted Funds	\$66,915
Linda Bowker	Program Assistant	General Funds	\$69,577

## Agenda Book

Sandra Stanley	Program Assistant	General Funds	\$69,577
UK Research Foundation	Terry Hibpshman services – P20	Federal Funds	\$52,963

### **Groups/Persons Consulted:**

N/A

### **Contact Person:**

Mr. Gary W. Freeland  
Deputy Executive Director  
(502) 564-4606  
E-mail: garyw.freeland@ky.gov

---

**Executive Director**

### **Date:**

May 17, 2010

**EDUCATION PROFESSIONAL STANDARDS BOARD  
STAFF NOTE**

**Action Item A**

**Action Item**

Request approval to authorize the executive director to enter issue a competitive solicitation

**Applicable Statutes and Regulation**

KRS 161.028 (1) (v) (d)

KRS 161.017 (3)

**Applicable Goal**

Goal 5: The EPSB shall be managed for both effectiveness and efficiency, fully complying with all statues, regulations, and established federal, state, and agency policies.

**Issue**

Should the Education Professional Standards Board (EPSB) authorize the executive director to issue a competitive solicitation and enter into a contract awarded from the solicitation?

**Background**

KRS 161.028 (1) (v) authorizes the EPSB to enter into contracts and KRS 161.017 (3) stipulates that with board approval the executive director may enter into agreements "...to enlist assistance to implement the duties and responsibilities of the board."

**Purpose of the contract:**

EPSB staff seeks approval to issue a Request for Proposals (RFP) for the services of a project specialist to assist with the P-20 data collaborative grant. Contractor will be required to facilitate a data and technology advisory group representative of all Kentucky teacher preparation programs, coordinate the activities of various EPSB and institutional P-20 data collaborative work groups, and collaborate with EPSB staff and contractors on the successful development and implementation of the P-20 data collaborative initiative. It's anticipated that the contract will be effective through June 30, 2012 with the option to renew.

- Approximate cost of the contract: \$70,800.00
- Impact on budget: No impact - EPSB is receiving federal funds from KDE to support this contract.
- Type of entity providing service: individual
- Bidding process: RFP
- Singular vs. continuing service: Continuing for up to 4 years

**Alternative Actions**

1. Authorize the executive director to issue a competitive solicitation and enter into a contract awarded from the solicitation.
2. Do not authorize the executive director to issue a competitive solicitation.

**Staff Recommendation**

Alternative 1

**Rationale**

These services are necessary to meet the objectives of the P-20 Data Collaborative.

**Contact Person:**

Mr. Gary W. Freeland  
Deputy Executive Director  
(502) 564-4606  
E-mail: garyw.freeland@ky.gov

---

**Executive Director**

**Date:**

May 17, 2010

**EDUCATION PROFESSIONAL STANDARDS BOARD  
STAFF NOTE**

**Action Item B**

**Action Item:**

16 KAR 6:010. Written Examination Prerequisites for Teacher Certification

**Applicable Statute or Regulation:**

KRS 161.030

16 KAR 6:010

**Applicable Goals:**

Goal 2: Every professional position in a Kentucky public school is staffed by a properly credentialed educator.

**Issue:**

Should the EPSB approve amendments to 16 KAR 6:010, Written Examination Requirements for Teacher Certification?

**Background:**

**Issue 1:**

**A. Scaled Score and Test Code Change For *Library Media Specialist* and *Speech Communication* Tests.**

**B. Scaled Score and Test Code Change For *English to Speakers of Other Languages* and *Teaching Students With Visual Impairments* Tests.**

The Educational Testing Service (ETS) is in the process of transitioning the older NTE-scaled tests (250-990 scale) to the Praxis score scale (100-200). Therefore, the following test code and passing score changes will be made to 16 KAR 6:010.

A. A concordance table is currently being used for the *Library Media Specialist* and *Speech Communication* tests until such time as the changes can be reflected in 16 KAR 6:010.

**Table 1**

Current Test Name and Code	Current (NTE) Passing Score		Test Name and Code Effective September 2009	Passing Score Effective September 1, 2009
<i>Library Media Specialist (0310)</i>	640		<i>Library Media Specialist (0311)</i>	156
<i>Speech Communication (0220)</i>	580		<i>Speech Communication (0221)</i>	146

B. The *English to Speakers of Other Languages (0360)* and *Teaching Students With Visual Impairments (0280)* tests are also scheduled to undergo test code and passing score revision. The concordance table will be used until such time as the changes can be reflected in 16 KAR 6:010.

**Table 2**

<b>Current Test Name and Code</b>	<b>Current (NTE) Passing Score</b>	<b>New Test Name and Code</b>	<b>Passing Score Effective September 1, 2010</b>
<i>English to Speakers of Other Languages (0360)</i>	620	<i>English to Speakers of Other Languages (0361)</i>	157
<i>Teaching Students With Visual Impairments (0280)</i>	700	<i>Teaching Students With Visual Impairments (0281)</i>	161

**Alternative Actions:**

Issue 1: Amend 16 KAR 6:010 to reflect new scaled score and test code changes for three existing tests.

A. Reflect the September 1, 2009 change in test code and score of *Library Media Specialist (0310)* with passing score of 640 and *Speech Communication (0220)* with passing score of 580 to *Library Media Specialist (0311)* with passing score of 156 and *Speech Communication (0221)* with passing score of 146 respectively.

B. Reflect the change in test code and score of the *English to Speakers of Other Languages (0360)* with passing score of 620 and *Teaching Students With Visual Impairments (0280)* with passing score of 700 to *English to Speakers of Other Languages (0361)* with passing score of 157 and *Teaching Students With Visual Impairments (0281)* with passing score of 161, effective September 1, 2010.

1. Approve the proposed amendments to 16 KAR 6:010.
2. Do not approve the proposed amendments to 16 KAR 6:010.

**Staff Recommendation:**

Alternative 1

**Rationale**

ETS is transitioning the older NTE-scaled tests (250-990 scale) to the Praxis score scale (100-200). Therefore, test codes and passing scores will change. This update does not raise or lower the required passing score for the tests.

**Issue 2: New *French, German, and Spanish World Language and Business Education* Tests and Corresponding Passing Scores**

The Educational Testing Service (ETS) recently developed French, German, and Spanish World Language tests and a new Business Education test that will ultimately replace the current *French: Content Knowledge (0173)*, *German: Content Knowledge (0181)*, *Spanish: Content Knowledge (0191)*, and *Business Education (0100)* tests. In October 2009, Kentucky participated in multi-state Standard Setting Studies (SSS) for the newly developed *French (0174)*, *German (0183)*, and *Spanish (0195) World Language and Business Education (0101)* tests.

Because these are newly developed tests, national percentile information and SREB performance data are not yet available. Therefore, EPSB staff recommends waiving a required passing score to provide time to gather performance data.

Amendments to 16 KAR 6:010 should become effective in October 2010. Therefore, staff recommends the requirement of the new tests with no passing score, effective January 1, 2011 through August 31, 2011, with the intent to implement a required passing score, effective September 1, 2011.

**Alternative Actions:**

Issue 2: Amend 16 KAR 6:010 to establish requirement of new *French*, *German*, and *Spanish World Language* and *Business Education* tests with no required passing score, effective January 1, 2011 through August 31, 2011.

1. Approve the proposed amendments to 16 KAR 6:010.
2. Do not approve the proposed amendments to 16 KAR 6:010.

**Staff Recommendation:**

Alternative 1

**Rationale:**

The Praxis II *French (0174)*, *German (0183)*, and *Spanish (0195) World Language* and *Business Education (0101)* tests were validated for use in Kentucky. Providing a hold harmless window will allow ample time to gather performance data.

**Issue 3: *Health and Physical Education: Content Knowledge (0856) Test as Option for Individuals Seeking Dual Certification in both Health and Physical Education (PE)***

Currently, individuals seeking certification in both Health and PE must successfully complete *Health Education (0550)*, *Physical Education: Content Knowledge (0091)*, *Physical Education: Movement Forms, Analysis, and Design (0092)*, and one of the *Principles of Learning and Teaching (PLT)* tests. In June 2009, the EPSB hosted a review of (0856) to determine whether to proceed with a SSS toward state adoption of the test as an option for those seeking certification in both Health and PE. Upon EPSB approval of the adoption of (0856), individuals seeking dual certification would have the option of completing (0550), (0091), (0092), and one of the *PLT* tests or (0856), (0092) and one of the *PLT* tests. As recommended by the review panel, the EPSB hosted a SSS for the Praxis II (0856) on January 14, 2010.

Data reflecting the Kentucky SSS panel's recommended passing score are provided below; however, since (0856) will be a new test for Kentucky, the EPSB staff recommends waiving a required passing score to provide time to gather Kentucky performance data.

Amendments to 16 KAR 6:010 should become effective in October 2010. Therefore staff recommends the new test option with no passing score, effective January 1, 2011 through August 31, 2011, with the intent to implement a required passing score, effective September 1, 2011.

**Table 3**

Study Value and SEM Scores		National Percentile Ranking	SREB Average
-2 SEM	142	3.9	148
-1 SEM	147	7.2	
Panel Recommended Passing Score	151	10.9	
+2 SEM	163	45.5	
+1 SEM	157	25.7	

**Alternative Actions:**

Issue 3: Amend 16 KAR 6:010 to establish *Health and Physical Education: Content Knowledge (0856)* test as an option for individuals seeking certification in both Health and Physical Education (PE), effective January 1, 2011.

1. Approve the proposed amendments to 16 KAR 6:010.
2. Do not approve the proposed amendments to 16 KAR 6:010.

**Staff Recommendation:**

Alternative 1

**Rationale:**

Implementing (0856) as an option for those seeking dual certification in Health and PE will allow teacher candidates to take fewer tests.

**Issue 4:****A. Adoption of Praxis II *Teaching Reading (0204)* Test as Requirement for Reading Primary through Grade 12 Endorsement****B. Use of Praxis II *Teaching Reading (0204)* Test for Deeming Teachers Highly Qualified (HQ) to Teach Reading**

ETS recently developed the *Teaching Reading (0204)* test. In October 2009, Kentucky participated in a multi-state SSS to determine whether the test was valid for the Reading endorsement and/or for use in deeming teachers highly qualified (HQ) to teach reading. On January 13, 2010, the EPSB hosted a state-specific SSS of (0204) to set a passing score for the Reading endorsement and Highly Qualified status as well as to review the test for possible use with the new Literacy Specialist endorsement, as discussed at the October 2009 Board meeting.

It was determined that the test would not be suitable for the new Literacy Specialist endorsement. Data reflecting the Kentucky SSS panel's recommended passing score for the Reading Specialist Endorsement and HQ requirement are provided below; however, since (0204) will be a new test for Kentucky, the EPSB staff recommends waiving a required passing score to provide time to gather Kentucky performance data.

Amendments to 16 KAR 6:010 should become effective in October 2010. Therefore staff recommends the new test requirement with no passing score, effective January 1, 2011 through August 31, 2011, with the intent to implement a required passing score, effective September 1, 2011.

Should the Board approve this test, it would also be used as an option for teachers who wish to be deemed HQ to teach reading.

**Table 4**

Study Value and SEM Scores	
-2 SEM	143
-1 SEM	148
Panel Recommended Passing Score	153
+2 SEM	165
+1 SEM	159

*\*Because this is a newly developed test, national percentile information and SREB performance data are not yet available.*

### **Alternative Actions:**

Issue 4: Amend 16 KAR 6:10 to establish requirement of *Teaching Reading (0204)* test with no required passing score for individuals seeking Reading (primary through grade 12) endorsement, effective January 1, 2011.

1. Approve the proposed amendment to 16 KAR 6:010.
2. Do not approve the proposed amendment to 16 KAR 6:010.

### **Staff Recommendation:**

Alternative 1

### **Rationale:**

Implementing (0204) will provide an appropriate assessment for individuals seeking the new Reading (primary through grade 12) endorsement. It will also provide an option for individuals seeking to be deemed HQ to teach reading.

### **Issue 5: Praxis II *Interdisciplinary Early Childhood Education (0023)* Passing Score**

In June 2008, a Standard Setting Study (SSS) panel validated the newly developed Kentucky-specific Praxis II IECE test. Because the *IECE (0023)* is a new test, a conversion table to convert a raw score to a scaled score was not available until sufficient performance data were collected from Kentucky examinees. Therefore, the EPSB waived a required passing score. Below is the validation panel's recommended passing score as well as the scores at two standard errors of measure above and below the recommendation.

**Table 5**

Study Value and SEM Scores		National Percentile Ranking *
-2 SEM	144	0.0
-1 SEM	152	5.0
Panel Recommended Passing Score	158	8.8
+2 SEM	172	27.5
<b>+1 SEM</b>	<b>166</b>	<b>15.0</b>

*\*Because this is a newly developed test, national percentile information and SREB performance data are not yet available. The data set includes 80 examinees nationwide, 76 of whom were Kentucky examinees.*

The SSS panel validated the test and recommended a passing score of 158; however, the EPSB has established a framework that passing scores must fall between the 15<sup>th</sup> and 25<sup>th</sup> percentiles. A score of 166 will place the score within this range.

Amendments to 16 KAR 6:010 should become effective in October 2010. Therefore staff recommends the passing score of 166, effective January 1, 2011, which aligns to the next testing window.

#### **Alternative Actions:**

**Issue 5: Amend 16 KAR 6:10 to establish a passing score of 166 for *Interdisciplinary Early Childhood Education (IECE) -0023 Test*, effective January 1, 2011.**

1. Approve the proposed amendment to 16 KAR 6:010.
2. Do not approve the proposed amendment to 16 KAR 6:010.

#### **Staff Recommendation:**

Alternative 1

#### **Rationale:**

Although the SSS panel validated the test for Kentucky use with a recommended passing score of 158, a passing score of 166 would be in compliance with the Board's passing score framework.

**Issue 6: Amendment to 16 KAR 6:010 to Reflect 16 KAR 2:010 Change in Career and Technology Certificate Name**

Recent amendments to 16 KAR 2:010 reflect the change from the career and technology education certificate name of "Technology Education" to "Engineering and Technology." This amendment should also be reflected in 16 KAR 6:010.

#### **Alternative Actions:**

Issue 6: Amend 16 KAR 6:010 to reflect 16 KAR 2:010 change in career and technology education certificate currently titled "Technology Education" to new title of "Engineering and Technology."

## Agenda Book

1. Approve the proposed amendment to 16 KAR 6:010.
2. Do not approve the proposed amendment to 16 KAR 6:010.

### **Staff Recommendation:**

Alternative 1

### **Rationale:**

Recent amendments to 16 KAR 2:010 reflect the change from the career and technology education certificate name of “Technology Education” to “Engineering and Technology.” This amendment should also be reflected in 16 KAR 6:010.

### **Contact Person:**

Mr. Robert Brown  
Division of Professional Learning and Assessment  
(502) 564-4606  
E-mail: robertl.brown@ky.gov

---

**Executive Director**

### **Date:**

May 17, 2010



**EDUCATION PROFESSIONAL STANDARDS BOARD**

**(AMENDMENT)**

**16 KAR 6:010. Written examination prerequisites for teacher certification.**

RELATES TO: KRS 161.020, 161.028(1), 161.030(3), (4)

STATUTORY AUTHORITY: KRS 161.028(1)(a), 161.030(3), (4)

NECESSITY, FUNCTION, AND CONFORMITY: KRS 161.028(1)(a) authorizes the Education Professional Standards Board to establish standards and requirements for obtaining and maintaining a teaching certificate. KRS 161.030(3) and (4) requires the Education Professional Standards Board to select the appropriate assessments required prior to teacher certification. This administrative regulation establishes the written examination prerequisites for teacher certification.

Section 1. A teacher applicant for certification shall successfully complete the appropriate written tests identified in this administrative regulation prior to Kentucky teacher certification.

Section 2. The Education Professional Standards Board shall require the test or tests and passing scores identified in this section for each new teacher applicant and each teacher seeking an additional certificate.

(1) ~~[Beginning September 1, 2009,]~~ An applicant for Interdisciplinary Early Childhood Education certification (birth to primary) shall take "Interdisciplinary Early Childhood Education (0023)[-]" with a passing score of 166.

(2) An applicant for Elementary certification (grades P-5) shall take "Elementary Education: Content Knowledge (0014)" with a passing score of 148.

(3) An applicant for certification at the middle school level (grades five (5) through nine (9)) shall take the content test or tests based on the applicant's content area or areas with the corresponding passing scores as identified in this subsection:

## Agenda Book

(a) Middle School English and Communications: "Middle School English Language Arts (0049)" - 158;

(b) Middle School Mathematics: "Middle School Mathematics (0069)" - 148;

(c) Middle School Science: "Middle School Science (0439)" - 144; or

(d) Middle School Social Studies: "Middle School Social Studies (0089)" – 149.

(4) An applicant for certification at the secondary level (grades eight (8) through twelve (12)) shall take the content test or tests corresponding to the applicant's content area or areas with the passing scores identified in this subsection:

(a) Biology: "Biology: Content Knowledge (0235)" - 146;

(b) Chemistry: "Chemistry: Content Knowledge (0245)" - 147;

(c) Earth Science: "Earth and Space Sciences: Content Knowledge (0571)" – 147;

(d) English:

1. "English Language, Literature and Composition: Content Knowledge (0041)" - 160; and

2. "English Language, Literature and Composition Essays (0042)" - 155;

(e) Mathematics:

1. "Mathematics: Content Knowledge (0061)" - 125; and

2. "Mathematics: Proofs, Models and Problems, Part 1 (0063)" - 141;

(f) Physics: "Physics: Content Knowledge (0265)" - 133; or

(g) Social Studies:

1. "Social Studies: Content Knowledge (0081)" - 151; and

2. "Social Studies: Interpretation of Materials (0083)" – 159.

(5) An applicant for certification in all grades shall take the content test or tests corresponding to the applicant's area or areas of specialization with the passing scores identified in this subsection:

(a) Art:

1. "Art: Content Knowledge (0133)" - 158; and

2. "Art Making (0131)" - 154;

(b) French: "French: Content Knowledge (0174) [~~(0173)~~]" [~~159~~];

(c) German: "German: Content Knowledge (0183) [~~(0181)~~]" [~~157~~];

(d) Health: "Health Education (0550)" - 630;

(e) Health and Physical Education:

1. "Health and Physical Education: Content Knowledge (0856)"; and

2. "Physical Education: Movement Forms-Analysis and Design (0092)" - 151;

(f) [(e)] Integrated Music:

1. "Music: Content Knowledge (0113)" - 154; and

2. "Music: Concepts and Processes (0111)" - 145;

(g) [(f)] Instrumental Music:

1. "Music: Content Knowledge (0113)" - 154; and

2. "Music: Concepts and Processes (0111)" - 145;

(h) [(g)] Vocal Music:

1. "Music: Content Knowledge (0113)" - 154; and

2. "Music: Concepts and Processes (0111)" - 145;

(i) [(h)] Latin: "Latin (0600)" - 700;

(j) [(i)] Physical Education:

1. "Physical Education: Content Knowledge (0091)" - 147; and

2. "Physical Education: Movement Forms-Analysis and Design (0092)" - 151;

(k) [(j)] School Media Librarian: "Library Media Specialist (0311) [~~(0310)~~]" – 156 [~~640~~];

(l) [(k)] School Psychologist: "School Psychologist (0401)" – 161; or

(m) [(H)] Spanish: "Spanish: Content Knowledge (0195) [(0191)]" [-160].

(6) An applicant for certification for teacher of exceptional children in Communication Disorders, Learning and Behavior Disorders, Hearing Impaired, Hearing Impaired with Sign Proficiency, Visually Impaired, or Moderate and Severe Disabilities shall take the content test or tests based on the applicant's area or areas of specialization with the corresponding passing scores as identified in this subsection:

(a) Communication Disorders:

1. "Education of Exceptional Students: Core Content Knowledge (0353)" - 157; and
2. "Speech-Language Pathology (0330)" - 600;

(b) Hearing Impaired:

1. "Education of Exceptional Students: Core Content Knowledge (0353)" - 157; and
2. "Education of Deaf and Hard of Hearing Students (0271)" - 167;

(c) Hearing Impaired With Sign Proficiency:

1. "Education of Exceptional Students: Core Content Knowledge (0353)" - 157;
2. "Education of Deaf and Hard of Hearing Students (0271)" – 167; and
3. One (1) of the following tests with a passing score of Intermediate Level:
  - a. "Sign Communication Proficiency Interview (SCPI)"; or

b. "Educational Sign Skills Evaluation (ESSE)";

(d) Learning and Behavior Disorders:

1. "Education of Exceptional Students: Core Content Knowledge (0353)" - 157; and
2. "Education of Exceptional Students: Mild to Moderate Disabilities (0542)" - 172;

(e) Moderate and Severe Disabilities:

1. "Education of Exceptional Students: Core Content Knowledge (0353)" - 157; and

## Agenda Book

2. "Education of Exceptional Students: Severe to Profound Disabilities (0544)" – 156; or

(f) Visually Impaired:

1. "Education of Exceptional Students: Core Content Knowledge (0353)" - 157; and

2. "Teaching Students with Visual Impairments (0281) [~~(0280)~~]" - 161 [~~700~~].

(7)(a) Except as provided in paragraph (b) of this subsection, an applicant for Career and Technical Education certification to teach in grades five (5) - twelve (12) shall take the content test or tests corresponding to the applicant's area or areas of specialization with the passing scores identified in this paragraph:

1. Agriculture: "Agriculture (0700)" - 520;

2. Business and Marketing Education: "Business Education (0101) [~~(0100)~~]" [~~—590~~];

3. Family and Consumer Science: "Family and Consumer Sciences (0121)" - 162; or

4. Engineering and Technology Education: "Technology Education (0050)" - 600.

(b) An applicant for Industrial Education shall take the content test or tests corresponding to the applicant's area or areas of specialization with the passing scores identified in 16 KAR 6:020.

(8) An applicant for a restricted base certificate in the following area or areas shall take the content test or tests based on the applicant's area or areas of specialization with the corresponding passing scores as identified in this subsection:

(a) English as a Second Language: "English to Speakers of Other Languages (0361) [~~(0360)~~]" 157 [~~620~~];

(b) Speech/Media Communications: "Speech Communication (0221) [~~(0220)~~]" – 146 [~~580~~];  
or

(c) Theater: "Theatre (0640)" - 630.

(9) An applicant for an endorsement in the following content area or areas shall take the content test or tests based on the applicant's area or areas of specialization with the passing scores identified in this subsection:

(a) English as a Second Language: "English to Speakers of Other Languages (0361) [(0360)]" – 157 [620];

(b) Learning and Behavior Disorders, grades eight (8) - twelve (12): "Education of Exceptional Students: Mild to Moderate Disabilities (0542)" – 172; or

(c) Gifted Education, grades primary [~~preschool~~] - twelve (12): "Gifted Education (0357)" – 152;and[-]

(d) Reading Primary through Grade 12: "Teaching Reading (0204)."

Section 3. In addition to the content area test or tests established in Section 2 of this administrative regulation, each new teacher shall take the pedagogy test and meet the passing score identified in this section that corresponds to the grade level of certification sought. If a certified teacher is seeking additional certification in any area, the applicant shall not be required to take an additional pedagogy test.

(1) An applicant for Elementary certification (grades preschool - five (5)) shall take "Principles of Learning and Teaching: Grades kindergarten - six (6) (0522)" - 161.

(2) An applicant for certification at the middle school level (grades five (5) through nine (9)) shall take "Principles of Learning and Teaching: Grades five (5) - nine (9) (0523)" - 161.

(3) An applicant for certification at the secondary level (grades eight (8) through twelve (12)) shall take "Principles of Learning and Teaching: Grades seven (7) - twelve (12) (0524)" - 161.

(4) An applicant for certification in all grades with a content area identified in Section 2(5) of this administrative regulation shall take either:

(a) "Principles of Learning and Teaching: Grades kindergarten - six (6) (0522)" - 161;

## Agenda Book

(b) "Principles of Learning and Teaching: Grades five (5) - nine (9) (0523)" - 161; or

(c) "Principles of Learning and Teaching: Grades seven (7) - twelve (12) (0524)" - 161.

(5) An applicant applying only for certification for teacher of exceptional children shall not be required to take a separate pedagogy test established in this section. The content area test or tests established in Section 2 of this administrative regulation shall fulfill the pedagogy test requirement for a teacher of exceptional children.

(6) An applicant for Career and Technical Education certification in grades five (5) through twelve (12) shall take either:

(a) "Principles of Learning and Teaching: Grades five (5) - nine (9) (0523)" - 161; or

(b) "Principles of Learning and Teaching: Grades seven (7) - twelve (12) (0524)" - 161.

(7) An applicant for a restricted base certificate shall take one (1) of the following pedagogy tests corresponding to the grade range of the specific restricted base certificate:

(a) "Principles of Learning and Teaching: Grades kindergarten - six (6) (0522)" - 161;

(b) "Principles of Learning and Teaching: Grades five (5) - nine (9) (0523)" - 161; or

(c) "Principles of Learning and Teaching: Grades seven (7) - twelve (12) (0524)" - 161.

Section 4. Assessment Recency. (1) A passing score on a test established at the time of administration shall be valid for the purpose of applying for certification for five (5) years from the test administration date.

(2) A teacher who fails to complete application for certification to the Education Professional Standards Board within the applicable recency period of the test and with the passing score established at the time of administration shall retake the appropriate test or tests and achieve the appropriate passing score or scores required for certification at the time of application.

(3) The test administration date shall be established by the Educational Testing Service or other authorized test administrator.

Section 5. (1) An applicant for initial certification shall take the assessments on a date established by:

(a) The Educational Testing Service;

(b) The agency established by the Education Professional Standards Board as the authorized test administrator.

(2) An applicant shall authorize test results to be forwarded by the Educational Testing Service, or other authorized test administrator, to the Kentucky Education Professional Standards Board and to the appropriate teacher preparation institution where the applicant received the relevant training.

(3)(a) Public announcement of testing dates and locations shall be issued sufficiently in advance of testing dates to permit advance registration.

(b) An applicant shall seek information regarding the dates and location of the tests and make application for the appropriate examination prior to the deadline established and sufficiently in advance of anticipated employment to permit test results to be received by the Education Professional Standards Board and processed in the normal certification cycle.

Section 6. An applicant shall pay the appropriate examination fee established by the Educational Testing Service or other authorized test administrator for each relevant test required to be taken.

Section 7. An applicant who fails to achieve at least the minimum score on any of the appropriate examinations may retake the test or tests during one (1) of the scheduled test administrations.

Section 8. The Education Professional Standards Board shall collect data and conduct analyses of the scores and institutional reports provided by the Educational Testing Service or other authorized test administrator to determine the impact of these tests.

## REGULATORY IMPACT ANALYSIS AND TIERING STATEMENT

Contact Person: Alicia A. Sneed, Director of Legal Services

(1) Provide a brief summary of:

(a) What this administrative regulation does: This administrative regulation establishes the written examination prerequisites and the corresponding passing scores for teacher certification.

(b) The necessity of this administrative regulation: This administrative regulation is necessary to provide notice to teacher candidates of the assessment requirements for obtaining and maintaining a teaching certificate.

(c) How this administrative regulation conforms to the content of the authorizing statutes: KRS 161.020 requires a certificate of legal qualifications for any public school position for which a certificate is issued. KRS 161.028 requires the Education Professional Standards Board to establish standards and requirements for obtaining and maintaining a teaching certificate. KRS 161.030 places the responsibility of selecting the assessments and determining the minimum acceptable level of achievement on each assessment on the Education Professional Standards Board.

(d) How this administrative regulation currently assists or will assist in the effective administration of the statutes: This administrative regulation lists the required teacher certification assessments and their corresponding minimum acceptable scores.

(2) If this is an amendment to an existing administrative regulation, provide a brief summary of:

(a) How the amendment will change this existing administrative regulation: In September of 2009, the Educational Testing Service (ETS) changed the test code and score for the following tests: *Library Media Specialist*, *Speech Communication*, *English to Speakers of Other Languages*, and *Teaching Students with Visual Impairments*. This amendment updates the regulation so that it contains the correct test code and minimum passing score. This amendment also replaces four current tests, *French: Content Knowledge*, *German: Content Knowledge*, *Spanish: Content Knowledge*, and *Business Education*, with newly updated tests. A minimum passing score will not be set for these new tests until performance data is gathered for them. The amendment also adds a test for individuals seeking both Health and Physical Education certification so that these individuals will not have to take three content area tests. This amendment establishes the requirement of taking *Teaching Reading* assessment for those

individuals seeking a Reading (primary through grade 12) endorsement. The amendment establishes 166 as the passing score for *Interdisciplinary Early Childhood Education* assessment. Finally, this amendment changes the title of “Technology Education” to “Engineering and Technology” to reflect a change made in 16 KAR 2:010.

(b) The necessity of the amendment to this administrative regulation: This amendment is necessary to ensure that the required assessments and corresponding scores are adequately set to produce the most competent educators.

(c) How the amendment conforms to the content of the authorizing statutes: The authorizing statutes, KRS 161.020, 161.028, and 161.030, govern the certification of professional school personnel and grant the Education Professional Standards Board certification authority and the responsibility for establishing the requirements for obtaining and maintaining a certificate. This amendment establishes the required assessments and corresponding passing scores for Kentucky teacher certification.

(d) How the amendment will assist in the effective administration of the statutes: This amendment more closely aligns assessment options with teacher preparation program requirements and opportunities within an actual school setting.

(3) List the type and number of individuals, businesses, organizations, or state and local governments affected by this administrative regulation: 174 Kentucky school districts, 30 educator preparation programs, and educators seeking new and additional teacher certification.

(4) Provide an analysis of how the entities identified in question (3) will be impacted by either the implementation of this administrative regulation, if new, or by the change, if it is an amendment, including:

(a) List the actions that each of the regulated entities identified in question (3) will have to take to comply with this administrative regulation or amendment: The school districts will not be required to take any additional action. The educator preparation programs will need to continue to direct students to the Education Professional Standards Board website for current assessment requirements. Applicants will need to continue to refer to the Education Professional Standards board website for current assessment requirements.

(b) In complying with this administrative regulation or amendment, how much will it cost each of the entities identified in question (3): There should not be any additional cost to the entities impacted by the regulation.

## Agenda Book

(c) As a result of compliance, what benefits will accrue to the entities identified in question (3): The educator preparation programs and applicants will be positively affected by the clarifications to the regulation. The districts will be positively affected by a supply of teachers who are competent in their content area.

(5) Provide an estimate of how much it will cost the administrative body to implement this administrative regulation:

(a) Initially: None

(b) On a continuing basis: None

(6) What is the source of the funding to be used for the implementation and enforcement of this administrative regulation: State General Fund

(7) Provide an assessment of whether an increase in fees or funding will be necessary to implement this administrative regulation, if new, or by the change if it is an amendment: No increase in fees or funding will be necessary to implement this administrative regulation.

(8) State whether or not this administrative regulation established any fees or directly or indirectly increased any fees: This administrative regulation does not establish any fees, or directly or indirectly increase fees.

(9) TIERING: Is tiering applied? (Explain why or why not) NO, tiering does not apply since all candidates for each certificate will be held to the same standard.

**FISCAL NOTE ON STATE OR LOCAL GOVERNMENT**

Regulation No. 16 KAR 6:010

Contact Person: Alicia Sneed

1. Does this administrative regulation relate to any program, service, or requirements of a state or local government (including cities, counties, fire departments, or school districts)?

Yes X No       

If yes, complete questions 2-4.

2. What units, parts or divisions of state or local government (including cities, counties, fire departments, or school districts) will be impacted by this administrative regulation? School districts, regional universities, and the Education Professional Standards Board.

3. Identify each state or federal statute or federal regulation that requires or authorizes the action taken by the administrative regulation. KRS 161.028(1) and KRS 161.030

4. Estimate the effect of this administrative regulation on the expenditures and revenues of a state or local government agency (including cities, counties, fire departments, or school districts) for the first full year the administrative regulation is to be in effect. There should be none.

(a) How much revenue will this administrative regulation generate for the state or local government (including cities, counties, fire departments, or school districts) for the first year? There should be no revenue generated.

(b) How much revenue will this administrative regulation generate for the state or local government (including cities, counties, fire departments, or school districts) for subsequent years? There should be no revenue generated.

(c) How much will it cost to administer this program for the first year? There should be no revenue generated.

(d) How much will it cost to administer this program for subsequent years? There should be no revenue generated.

Note: If specific dollar estimates cannot be determined, provide a brief narrative to explain the fiscal impact of the administrative regulation.

Revenues (+/-):

Expenditures (+/-):

Other Explanation: This is not a fee generating or a revenue costing regulation, but merely establishes the testing requirements for teacher candidates to obtain certification.

Agenda Book

**EDUCATION PROFESSIONAL STANDARDS BOARD  
STAFF NOTE**

**Action Item C**

**Action Item:**

16 KAR 6:030. Examination Prerequisites for Principal Certification

**Applicable Statute or Regulation:**

KRS 161.027  
16 KAR 6:030

**Applicable Goals:**

Goal 2: Every professional position in a Kentucky public school is staffed by a properly credentialed educator.

**Issue:**

Should the EPSB approve amendments to 16 KAR 6:030, Examination Prerequisites for Principal Certification?

**Background:**

At the August 2009 Board meeting, the EPSB waived the passing score requirement of the *School Leaders Licensure Assessment (SLLA)* as established in 16 KAR 6:030 until such time as Kentucky performance data for the new SLLA could be gathered and EPSB staff could convene a Standard Setting Study (SSS) to establish a recommended passing score. EPSB hosted a SSS on January 12, 2010, facilitated by the Education Testing Service (ETS). The SSS panel consisted of representation from institutions of higher education and current administrators. The study value from the SSS panel is 157, which currently falls at the 10<sup>th</sup> percentile; however, the EPSB has established a framework that passing scores must fall between the 15<sup>th</sup> and 25<sup>th</sup> percentiles. A score of 160 will place the score at the 15<sup>th</sup> percentile.

Amendments to 16 KAR 6:030 should become effective in October 2010. Therefore staff recommends the passing score of 160 effective January 1, 2011, which aligns to the next testing window.

**Alternative Actions:**

**Amend 16 KAR 6:030 to reflect the required passing score of 160 for the *SLLA 1011*, effective January 1, 2011.**

1. Approve the proposed amendments to 16 KAR 6:030.
2. Do not approve the proposed amendments to 16 KAR 6:030.

**Staff Recommendation:**

Alternative 1

**Rationale:**

The newly developed test was validated by practicing Kentucky administrators and administrator educators. The recommended passing score falls within the Board's passing score framework.

**Contact Person:**

Mr. Robert Brown  
Division of Professional Learning and Assessment  
(502) 564-4606  
E-mail: robertl.brown@ky.gov

---

**Executive Director**

**Date:**

May 17, 2010

**EDUCATION PROFESSIONAL STANDARDS BOARD  
(AMENDMENT)**

**16 KAR 6:030. Examination prerequisites for principal certification.**

RELATES TO: KRS 161.020, 161.027, 161.030

STATUTORY AUTHORITY: KRS 161.027

NECESSITY, FUNCTION, AND CONFORMITY: KRS 161.020 requires a certificate of legal credentials for any public school position for which a certificate is issued. KRS 161.027 requires the Education Professional Standards Board to develop or select appropriate tests, establish minimum scores for successful completion, and establish a reasonable fee to be charged for actual cost of administration of the tests, for an applicant seeking certification as principal, and further requires that each applicant for certification as school principal with less than two (2) years of appropriate experience complete a one (1) year internship program developed by the Education Professional Standards Board. This administrative regulation establishes the examination requirements for certification as principal required under KRS 161.027.

Section 1. (1) The certificate for school principal shall be valid for serving in the position of principal or assistant principal. A new applicant for certification as a school principal, including vocational school principal, shall successfully complete the prerequisite tests specified in Section 2 of this administrative regulation prior to certification as a school principal. A score on a test completed more than five (5) years prior to application for certification shall not be acceptable.

(2) In addition to the examination requirement specified in Section 2 of this administrative regulation, an applicant for certification shall successfully complete a one (1) year internship program if the applicant has had less than two (2) years of successful experience as a principal in another state.

## Agenda Book

Section 2. An applicant for certification as principal shall complete the following tests and attain the minimum score specified for each test:

(1) School Leaders Licensure Assessment (1011) – 160 [~~165~~]; and

(2) Kentucky Specialty Test of Instructional and Administrative Practices - eighty-five (85) percent correct responses.

Section 3. The requirement to successfully complete the School Leaders Licensure Assessment shall not be required for an applicant who has:

(1) Two (2) years of experience as a certified principal in another state; and

(2) Successfully completed a nationally administered test in the area of educational leadership and administration.

Section 4. (1) An applicant for certification as principal shall take the required School Leaders Licensure Assessment on a date established by the ETS. An applicant shall authorize that test results be forwarded to the Education Professional Standards Board by the ETS.

(2) An applicant for certification as principal shall take the Kentucky Specialty Test of Instructional and Administrative Practices on a date established by the Education Professional Standards Board. Scoring and reporting of scores shall be the responsibility of the Education Professional Standards Board or its designated agent.

(3) Public announcement of a testing date and location shall be issued sufficiently in advance to permit registration as required by the ETS and the Education Professional Standards Board.

(4) An applicant shall seek information regarding the dates and location of the test and make application for the appropriate examination prior to the deadline established and sufficiently in advance of anticipated employment to permit test results to be received by the Education Professional Standards Board and processed in the normal certification cycle.

1 Section 5. (1) For the required School Leaders Licensure Assessment, the applicant shall pay  
2 all fees assessed by the ETS.

3 (2) For the Kentucky Specialty Test of Instructional and Administrative Practices, an  
4 applicant shall pay a fee of eighty (80) dollars.

5 Section 6. An applicant who fails to achieve a minimum score on a required test as specified  
6 in Section 2 of this administrative regulation shall be permitted to retake the test or tests during a  
7 regularly-scheduled test administration.

8 Section 7. A temporary certificate issued in accordance with KRS 161.027(6)(a) shall not be  
9 extended for an applicant who does not successfully complete the assessments within the year.

10 Section 8. (1) For an applicant applying for a certificate under KRS 161.027(6)(b), the school  
11 superintendent of the employing district shall submit a request that shall include an affirmation  
12 that the applicant pool consisted of three (3) or less applicants who met the requirements for  
13 selecting a principal.

14 (2) Upon successful completion of the assessments and the principal internship, a certificate  
15 shall be issued for an additional four (4) years.

16 (3) The temporary certificate issued in accordance with KRS 161.027(6)(b) shall not be  
17 extended beyond the one (1) year period.

18 Section 9. (1) To provide for confidentiality of information, the Education Professional  
19 Standards Board shall report individual scores on the Kentucky Specialty Test of Instructional  
20 and Administrative Practices to the individual applicant. The scores shall not be released to other  
21 individuals or agencies.

22 (2) A score shall not be used by the Education Professional Standards Board in an  
23 individually identifiable form other than for purposes of determining eligibility for certification  
24 as school principal.

## Agenda Book

1       Section 10. On an annual or biennial basis, the Education Professional Standards Board shall  
2       collect and analyze data provided by the Educational Testing Service through score and  
3       institution reports which permit evaluation of the examination prerequisites covered by this  
4       administrative regulation.

## REGULATORY IMPACT ANALYSIS AND TIERING STATEMENT

Contact Person: Alicia A. Sneed, Director of Legal Services

(1) Provide a brief summary of:

(a) What this administrative regulation does: This administrative regulation establishes the written examination prerequisites and the corresponding passing scores for principal certification.

(b) The necessity of this administrative regulation: This administrative regulation is necessary to provide notice to principal preparation candidates of the assessment requirements for obtaining and maintaining a principal certificate.

(c) How this administrative regulation conforms to the content of the authorizing statutes: KRS 161.020 requires a certificate of legal qualifications for any public school position for which a certificate is issued. KRS 161.028 requires the Education Professional Standards Board to establish standards and requirements for obtaining and maintaining a teaching certificate. KRS 161.030 places the responsibility of selecting the assessments and determining the minimum acceptable level of achievement on each assessment on the Education Professional Standards Board.

(d) How this administrative regulation currently assists or will assist in the effective administration of the statutes: This administrative regulation lists the required principal certification assessments and their corresponding minimum acceptable scores.

(2) If this is an amendment to an existing administrative regulation, provide a brief summary of:

(a) How the amendment will change this existing administrative regulation: The Education Testing Service (ETS) changed the *School Leaders Licensure Assessment (SLLA)* in 2009. This amendment establishes the minimum passing score for the new SLLA at 160.

(b) The necessity of the amendment to this administrative regulation: This amendment is necessary to ensure that the required assessments and corresponding scores are adequately set to produce the most competent educators.

(c) How the amendment conforms to the content of the authorizing statutes: The authorizing statutes, KRS 161.020, 161.028, and 161.030, govern the certification of professional school personnel and grant the Education Professional Standards Board certification authority and the responsibility for establishing the requirements for obtaining and maintaining a

certificate. This amendment establishes the required assessments and corresponding passing scores for Kentucky principal certification.

(d) How the amendment will assist in the effective administration of the statutes: This amendment more closely aligns assessment options with teacher preparation program requirements and opportunities within an actual school setting.

(3) List the type and number of individuals, businesses, organizations, or state and local governments affected by this administrative regulation: 174 Kentucky school districts, 30 educator preparation programs, and educators seeking new and additional teacher certification.

(4) Provide an analysis of how the entities identified in question (3) will be impacted by either the implementation of this administrative regulation, if new, or by the change, if it is an amendment, including:

(a) List the actions that each of the regulated entities identified in question (3) will have to take to comply with this administrative regulation or amendment: The school districts will not be required to take any additional action. The educator preparation programs will need to continue to direct students to this regulation or the Education Professional Standards Board website for current assessment requirements. Applicants will need to continue to refer to this regulation or the Education Professional Standards board website for current assessment requirements.

(b) In complying with this administrative regulation or amendment, how much will it cost each of the entities identified in question (3): There should not be any additional cost to the entities impacted by the regulation.

(c) As a result of compliance, what benefits will accrue to the entities identified in question (3): The educator preparation programs and applicants will be positively affected by the clarifications to the regulation. The districts will be positively affected by a supply of competent principals.

(5) Provide an estimate of how much it will cost the administrative body to implement this administrative regulation:

(a) Initially: None

(b) On a continuing basis: None

(6) What is the source of the funding to be used for the implementation and enforcement of this administrative regulation: State General Fund

## Agenda Book

(7) Provide an assessment of whether an increase in fees or funding will be necessary to implement this administrative regulation, if new, or by the change if it is an amendment: No increase in fees or funding will be necessary to implement this administrative regulation.

(8) State whether or not this administrative regulation established any fees or directly or indirectly increased any fees: This administrative regulation does not establish any fees, or directly or indirectly increase fees.

(9) TIERING: Is tiering applied? (Explain why or why not) NO, tiering does not apply since all candidates for a principal certificate will be held to the same standard.

**FISCAL NOTE ON STATE OR LOCAL GOVERNMENT**

Regulation No. 16 KAR 6:010

Contact Person: Alicia Sneed

1. Does this administrative regulation relate to any program, service, or requirements of a state or local government (including cities, counties, fire departments, or school districts)?

Yes X No       

If yes, complete questions 2-4.

2. What units, parts or divisions of state or local government (including cities, counties, fire departments, or school districts) will be impacted by this administrative regulation? School districts, regional universities, and the Education Professional Standards Board.

3. Identify each state or federal statute or federal regulation that requires or authorizes the action taken by the administrative regulation. KRS 161.028(1) and KRS 161.030

4. Estimate the effect of this administrative regulation on the expenditures and revenues of a state or local government agency (including cities, counties, fire departments, or school districts) for the first full year the administrative regulation is to be in effect. There should be none.

(a) How much revenue will this administrative regulation generate for the state or local government (including cities, counties, fire departments, or school districts) for the first year? There should be no revenue generated.

(b) How much revenue will this administrative regulation generate for the state or local government (including cities, counties, fire departments, or school districts) for subsequent years? There should be no revenue generated.

(c) How much will it cost to administer this program for the first year? There should be no revenue generated.

(d) How much will it cost to administer this program for subsequent years? There should be no revenue generated.

Note: If specific dollar estimates cannot be determined, provide a brief narrative to explain the fiscal impact of the administrative regulation.

Revenues (+/-):

Expenditures (+/-):

Other Explanation: This is not a fee generating or a revenue costing regulation, but merely establishes the testing requirements for teacher candidates to obtain certification.

Agenda Book  
**EDUCATION PROFESSIONAL STANDARDS BOARD**  
**STAFF NOTE**

**Action Item D**

**Action Item:**

2010-2011 Meeting Dates

**Applicable Statute:**

KRS 161.028

**Applicable Goal:**

Goal VI: The EPSB shall be managed for both effectiveness and efficiency, fully complying with all statutes, regulations and established federal, state, and agency policies.

**Background:**

As stipulated in KRS 161.028, regular meetings of the board shall be held at least semi-annually on call of the chair. A recommended calendar for 2010-11 is attached.

**Alternative Actions:**

1. Approve the proposed meeting calendar for 2010-11
2. Revise and approve the proposed meeting calendar for 2010-11

**Staff Recommendation:**

Alternative 1

**Rationale:**

Meeting dates and places must be scheduled as far in advance as possible to ensure availability of facilities.

**Contact Person:**

Ms. Ashley Abshire  
Executive Assistant  
(502) 564-4606  
E-mail: ashley.abshire@ky.gov

---

**Executive Director**

**Date:**

May 17, 2010



## **Proposed 2010-2011 EPSB Meeting Dates**

Monday, August 2, 2010

Monday, September 20, 2010

Monday, October 25, 2010

Monday, January 10, 2011

Monday, March 7, 2011

Monday, May 16, 2011



Agenda Book  
**EDUCATION PROFESSIONAL STANDARDS BOARD**  
**STAFF NOTE**  
**Action Item E**

**Action Item**

KRS 161.030 and 16 KAR 7:030. Kentucky Teacher Internship Program and funding scenario

**Applicable Statutes and Regulation**

KRS 161.017 (1) (e)  
KRS 161.030 (7)  
16 KAR 7:030

**Applicable Goal**

Goal 5: The EPSB shall be managed for both effectiveness and efficiency, fully complying with all statutes, regulations, and established federal, state, and agency policies.

**Issue**

Should the Education Professional Standards Board (EPSB) approve the allocation of FY 2011 funds for administering the Kentucky Teacher Internship program based upon the proposed funding scenario?

**Background**

For the past two years, because there were budget cuts across the board, EPSB has not been able to pull funds from other areas of our budget to subsidize the KTIP program. Limitations were set on the number of interns that could be served and the amount of funds that could be used for resource teachers, teacher educators, substitute teachers, and other necessary expenditures. The resource teacher stipend was reduced in 2010 to \$1,250 per intern without any reduction of the out-of-class hours required for service. This effectively reduced the hourly rate for resource teachers' pay from \$28 per hour to \$25 per hour. The funds allocated to universities for teacher educators were reduced from an average of \$364 per intern to \$182 per intern in 2009, and then increased in 2010 to \$263 per intern.

A similar situation exists for 2011, where budget cuts have forced a further reduction in funding for the KTIP program. However, when the 2011-2012 biennial budget is passed, we expect to have language in that bill to "not withstand" KRS.030 (7) that requires the resource teacher to spend fifty (50) hours of out-of-class time providing consulting services to the intern. This language change gives EPSB the flexibility to set or reduce the number of hours required for resource teachers; therefore we are able to maintain the hourly rate of the stipend when the overall cost has to be reduced.

Included in the materials under separate cover is a recommended funding scenario for KTIP for 2011 and 2012. As a part of that approval, the EPSB will set the resource teachers' stipend amount and hours of out-of-class service, the rate to be paid to universities for teacher educator services, and the maximum number of teachers that can be funded with KTIP funds in 2011 and 2012. With this proposal the resource teacher stipend would be returned to an hourly rate of \$28 per hour for 40 hours in consultation with the intern, resulting in a resource teacher stipend of \$1,120 per intern. The university funding per intern remains the same at \$263 per intern. With these rates the EPSB is able to enroll a maximum of 2,493 into the KTIP Program each year.

## Agenda Book

Based on 2008 and 2009 enrollment and the continued support from the Office of Career and Technical Education, staff anticipates inclusion of all interns based upon this funding scenario.

It is important that the EPSB approve an allocation of funding at the May 17, 2010 board meeting so that contracts for services can be executed, and districts can be notified of the resource teacher stipend amounts and reduced hourly requirement.

### **Alternative Actions**

1. Approve the recommended funding scenario that sets the amount of resource teacher stipend to \$1,120 per intern based on \$28 per hour for 40 hours, the rate to be paid for institution services to \$263 per intern, and the maximum number of interns to be funded at 2,493.
2. Modify the 2011-2012 KTIP funding request and approve the plan with modifications.
3. Wait for more information and have a special called board meeting to approve funding based on more up to date information at the end of the fiscal year.

### **Staff Recommendation**

Alternative 1

### **Rationale**

EPSB staff is requesting approval of the allocation of KTIP funds for fiscal year 2011-12. This allocation will allow staff to communicate to universities and districts the amount of the resource teacher stipend, the number of hours for resource teacher consulting services, the allocation of funds to university services, and the maximum number of interns to be funded. It will also allow EPSB to move forward with contracts for FY 2011

### **Contact Person:**

Mr. Gary Freeland  
Deputy Executive Director  
(502) 564-4606  
E-mail: garyw.freeland@ky.gov

---

**Executive Director**

### **Date:**

May 17, 2010

Agenda Book  
**EDUCATION PROFESSIONAL STANDARDS BOARD**  
**STAFF NOTE**  
**Action Item F**

**Action Item:**

Spalding University Principal Preparation Program, All Grades

**Applicable Statutes and Regulation:**

KRS 161.028, 161.048  
16 KAR 3:050

**Applicable Goal:**

Goal 1: Every approved educator preparation program meets or exceeds all accreditation standards and prepares knowledgeable, capable teachers and administrators who demonstrate effectiveness in helping all students reach educational achievement.

**Issue:**

Should the Education Professional Standards Board (EPSB) approve Spalding University's request for a Principal Preparation Program, All Grades proposal?

**Background:**

Given the changing expectations and responsibilities of the principal, it was determined by the Commonwealth Collaborative of School Leadership Programs (CCSLP) and the State Action for Educational Leadership Programs (SAELP) that the present system of preparation of Kentucky principals was deemed inadequate. With the assistance of the Southern Regional Education Board (SREB) the group further decided that Kentucky's principal preparation programs must be built upon the competencies that are identified with being an effective principal. An effective principal is one who can increase student achievement by guiding and supporting teachers while capably managing the school organization.

The 2006 General Assembly passed House Joint Resolution 14 (HJR14), which instructed the executive director of the EPSB, in cooperation with the president of the Council on Postsecondary Education (CPE) and the Commissioner of Education, to convene a task force to present recommendations on the redesign of Kentucky's system for preparing and supporting principals. In August of 2006 the Education Leadership Redesign (ELR) task force convened with 30 members and met for nearly one year.

The ELR recommendations resulted in changes to Regulation 16 KAR 3:050, which became effective October 2008. In March 2009 a seventeen-member Principal Review Committee charged with evaluating the redesigned programs was appointed during the EPSB board meeting.

Spalding University's College of Education is requesting approval of the Principal Preparation Program proposal. The university's conceptual framework based on *Educators as Leaders* is aligned with national standards and the Kentucky Cohesive Leadership Continuum for Principal Preparation and Development.

Four school districts have signed on as collaborative partners in the design and implementation of the principal proposal: Jefferson County Public Schools, Shelby County Public Schools, Oldham County Public Schools, and the Archdiocese of Louisville. The school districts will collaborate with Spalding University in recruitment, screening, and selection of candidates. The

## Agenda Book

districts are assisting with the design and creation of curriculum, projects, learning experiences, facilitation of the classes, and the evaluation of candidate work. University faculty and school district personnel will serve on *Instructional Teams* with Arts and Sciences faculty serving as resource professionals. Mentors in every district at all grade levels are available to assist candidates.

Continuous formative evaluation of the candidate's performance takes place throughout the program. The coursework culminates with a summative evaluation in the form of a Capstone/Thesis Project. The Spalding proposal includes both Level I and Level II requirements. The university addressed all of the concerns posed by the Principal Review Committee. The program proposal and rejoinder are under separate cover.

### **Groups/Persons Consulted:**

Principal Review Committee

### **Alternative Actions:**

1. Approve the Spalding University request for the Principal Preparation Program, all grades.
2. Modify and approve the Spalding University request for the Principal Preparation Program, all grades.
3. Do not approve the Spalding University request for the Principal Preparation Program, all grades.

### **Staff Recommendation:**

Alternative 1

### **Rationale:**

The Principal Review Committee (PRC) recommends the Spalding University's Principal Preparation Program, All Grades proposal for approval. The college presented signed agreements with four collaborating districts. In addition to recommending program approval, the committee commends the college for the following aspects of its proposal:

- The PRC recognized the work the institution put into the revisions and appreciate the clarity.
- The proposal is well organized, easy to follow, and very detailed.
- The MOAs and co-delivery, collaboration, and partnership as well as the joint admissions process were well developed.
- Good use of gates in the assessment system
- Good clarification of the capstone project

### **Contact Person:**

Dr. Marilyn K. Troupe, Director  
Division of Educator Preparation  
(502) 564-4606  
E-mail: marilyn.troupe@ky.gov

---

**Executive Director**

### **Date:**

May 17, 2010

## Agenda Book

### 16 KAR 3:050. Professional certificate for instructional leadership - school principal, all grades.

RELATES TO: KRS 161.020, 161.027, 161.028, 161.030

STATUTORY AUTHORITY: KRS 161.027, 161.028, 161.030

NECESSITY, FUNCTION, AND CONFORMITY: KRS 161.020 requires that a teacher or other professional school personnel hold a certificate of legal qualification for the respective position to be issued upon completion of a program of preparation prescribed by the Education Professional Standards Board. Additionally, KRS 161.027 specifically requires a preparation program for principals. A teacher education institution shall be approved for offering the preparation program corresponding to a particular certificate on the basis of standards and procedures established by the Education Professional Standards Board. This administrative regulation establishes the preparation and certification program for school principals, at all grade levels.

Section 1. Definitions. (1) "Level I" means the standards-based program of studies designed for minimal preparation to serve in the position of instructional leadership - school principal.

(2) "Level II" means the standards-based program of studies to attain the first five (5) year renewal of the certificate for the position of instructional leadership - school principal.

Section 2. Conditions and Prerequisites. (1) The provisional and professional certificate for instructional leadership - school principal shall be issued to an applicant who has completed an approved program of preparation and requirements, including assessments.

(2) The provisional and professional certificate for instructional leadership - school principal shall be valid for the position of school principal or school assistant principal for all grade levels.

(3) Prerequisites for admission to the program of preparation for the provisional and professional certificate for instructional leadership - school principal shall include:

(a) A master's degree;

(b) Three (3) years of documented teaching experience in a public school or a nonpublic school which meets the state performance standards as established in KRS 156.160;

(c) A written statement documenting the candidate's skills and understanding in the following areas:

1. Ability to improve student achievement;

2. Leadership; and

3. Advanced knowledge of curriculum, instruction, and assessment; and

(d) An agreement from a school district pledging support that includes opportunities for the candidate to participate in a high quality practicum experience. The agreement shall include:

1. A description of how the district will provide opportunities for the candidate:

a. To observe school and district leadership; and

b. To participate in school and district leadership activities;

2. Confirmation that the candidate shall be permitted to utilize aggregated school and district information and data; and

3. The signature of the district superintendent or the district superintendent's designee.

Section 3. Kentucky Administrator Standards for Preparation and Certification. The approved program of preparation for the provisional certificate for instructional leadership - school principal shall:

(1) Prepare a candidate for the position of school principal as specified in the standards included in:

(a) The "Educational Leadership Policy Standards: ISLLC 2008"; and

(b) The "Technology Standards for School Administrators"; and

(2) Document candidate performance using "Dispositions, Dimensions, and Functions for School Leaders".

Section 4. Principal Preparation Programs. (1) All principal preparation programs approved or accredited by the Education Professional Standards Board prior to May 31, 2009 shall no longer be approved or accredited as of December 31, 2011.

(a) A principal preparation program approved by the Education Professional Standards Board prior to May 31, 2009 shall cease admitting new candidates after December 31, 2011.

(b) Candidates admitted to a principal preparation program approved by the Education Professional Standards Board prior to May 31, 2009 shall complete the program by January 31, 2014.

(c) An institution of higher learning with a principal preparation program approved by the Education Professional Standards Board prior to May 31, 2009 may submit a redesigned program for approval pursuant to the requirements of subsection (2) of this section beginning May 31, 2009.

(d) An institution's redesigned principal preparation program may become operational beginning January 1, 2010, if the institution:

1. Submits a redesigned principal preparation program for review pursuant to the requirements of subsection (2) of this section; and

2. Receives approval of the redesigned program by the Education Professional Standards Board pursuant to 16 KAR 5:010, Section 22.

(e) Institutions submitting a redesigned principal preparation program shall not be subject to any submission dates for program approval for principal preparation programs from May 31, 2009 until December 31, 2012.

(f)1. The Education Professional Standards Board shall appoint a Principal Preparation Program Redesign Review Committee to conduct reviews of redesigned principal preparation programs submitted for approval between May 31, 2009 and December 31, 2012.

2. Principal preparation programs submitted for approval between May 31, 2009 and December 31, 2012 shall:

a. Be reviewed by the Principal Preparation Program Redesign Review Committee; and

b. Not be reviewed by the Continuous Assessment Review Committee, Content Program Review Committee, or the Reading Committee prior to presentation to the Education Professional Standards Board pursuant to 16 KAR 5:010, Section 22(2).

3.a. After review of a principal preparation program, the Principal Preparation Program Redesign Review Committee shall issue one (1) of the following recommendations to the Educational Professional Standards Board:

## Agenda Book

- i. Approval;
- ii. Approval with conditions; or
- iii. Denial of approval.

b. The Education Professional Standards Board shall consider recommendations from staff and the Principal Preparation Program Redesign Review Committee and shall issue a decision pursuant to 16 KAR 5:010, Section 22(4).

(2) Beginning May 31, 2009, in addition to the requirements established in 16 KAR 5:010, Section 22, the educator preparation unit shall prepare and submit to the Education Professional Standards Board for each principal preparation program for which the institution is seeking approval a concise description of the preparation program which shall provide the following documented information:

(a) Signed collaborative agreements with school districts that include the following:

- 1. Joint screening of principal candidates by both district and university;
- 2. Joint identification of potential program leaders and mentors;
- 3. District and university codesign and codelivery of courses; and
- 4. The manner in which the principal preparation program is based on the identified leadership needs of each district;

(b) The protocol for screening applicants that ensures the identification and admission of high quality candidates into the program;

(c) A matrix that illustrates the alignment between the standards and performance indicators identified in Section 3 of this administrative regulation and the program's curriculum and field experiences;

(d) A syllabus for each of the program's required courses identified in the documentation required by paragraph (c) of this subsection;

(e) The program's plan to collaborate with academic disciplines and programs outside of the field of education in order to supplement the candidate's knowledge and skills set;

(f) The program's plan to collaborate with each district in providing high quality field experiences that:

- 1. Enhance courses throughout the entire program;
- 2. Ensure that the candidate has a continuum of school-based experiences that range from observing, to participating, to leading; and

3. Expose the candidate to diverse student populations and school environments;

(g) The program's plan to use rigorous formative and summative evaluations of each candidate's:

1. Knowledge and skills to advocate, nurture, and sustain a school culture that promotes and supports high levels of learning for all students; and

2. Knowledge and skills to manage a school for efficiency, accountability, and safety; and

(h) The program's plan to require all candidates to conduct a capstone project and defend it to a panel of program faculty and practicing school administrators at the end of Level I preparation.

Section 5. Assessment Prerequisites for the Provisional Certificate for Instructional Leadership - School Principal. An applicant for certification as a school principal, including vocational principal, shall attain the specified minimum score on the assessments required by 16 KAR 6:030.

Section 6. Statement of Eligibility for Internship. (1) A statement of eligibility for internship for the provisional certificate for instructional leadership - school principal shall be issued for a five (5) year period to an applicant who:

(a) Has successfully completed an approved program of preparation;

(b) Has three (3) years of full-time teaching experience; and

(c) Has successfully completed the appropriate assessment requirements for the school principal certification or qualifies for a one (1) year period for completion of assessments under KRS 161.027(6).

(2) Application shall be made on "Application for Kentucky Certification or Change in Salary Rank", Form TC-1, incorporated by reference in 16 KAR 2:010.

(3) A request for renewal of the Statement of Eligibility pursuant to KRS 161.027(7) shall be made on Form TC-2, incorporated by reference in 16 KAR 4:060.

Section 7. (1) A professional certificate for instructional leadership - school principal, level I, shall be issued upon successful completion of the principal internship as provided in KRS 161.027 and 16 KAR 7:020.

(2) The renewal of the professional certificate for instructional leadership - school principal, level I, shall require a recommendation from the approved recommending authority regarding the successful completion of an approved level II program. The certificate shall be valid for five (5) years.

(3) Each subsequent five (5) year renewal of the professional certificate for instructional leadership - school principal, level II, shall require successful completion of two (2) years of experience as a school principal within the preceding five (5) years.

(4) If the applicant has not successfully completed the two (2) years of experience as required by subsection (3) of this section, pursuant to KRS 161.027(9), each subsequent five (5) year renewal of the professional certificate for instructional leadership-school principal, level II, shall require:

(a) Completion of three (3) semester hours of additional graduate credit directly related to the position of school principal for each required year of experience the applicant has not completed; or

(b) Successful completion of forty-two (42) hours of approved training selected from programs approved by the Kentucky Effective Instructional Leadership Training Program provided in KRS 156.101....

Agenda Book  
**EDUCATION PROFESSIONAL STANDARDS BOARD**  
**STAFF NOTE**  
**Action Item G**

**Action Item:**

St. Catharine College: Accreditation of the Educator Preparation Unit and Approval of Programs

**Applicable Statute or Regulation:**

KRS 161.028  
16 KAR 5:010

**Applicable Goal:**

Goal 1: Every approved educator preparation program meets or exceeds all accreditation standards and prepares knowledgeable, capable teachers and administrators who demonstrate effectiveness in helping all students reach educational achievement.

**Issue:**

Should the EPSB grant accreditation to the Educator Preparation Unit and approve the initial level preparation programs at St. Catharine College?

**Background:**

In September 2007 the EPSB granted Temporary Authorization to St. Catharine College upon completion of Stage Three of the Developmental Process for New Educator Preparation Programs as outlined in Section 3 of 16 KAR 5:010. On October 25-28, 2009, a state Board of Examiners (BOE) team conducted the Stage Four on-site first accreditation visit. The BOE also evaluated the program review documents as part of the on-site visit. These programs were found to be in compliance with the guidelines established and approved by the EPSB.

On April 1, 2010, the Accreditation Audit Committee met (see attached minutes) and reviewed the accreditation materials including the institutional report, the BOE Report, and the unit's rejoinders. The AAC agreed with the findings of the BOE team that all six standards were met. The committee also reviewed and discussed the following areas for improvement cited in the BOE Report.

**Areas for Improvement**

Standard 2: Assessment System and Unit Evaluation

- 1) The assessment system does not clearly reflect the conceptual framework.
- 2) There is no evidence that the unit has involved its P-12 community in the development of its assessment system.

Standard 5: Faculty Qualifications, Performance, and Development

- 1) Not all faculty have expertise in the areas in which they teach.

Pursuant to 16 KAR 5:010, Section 19, the AAC accepts areas for improvement listed above and recommends: (1) ACCREDITATION and (2) APPROVAL OF THE INITIAL LEVEL EDUCATOR PREPARATION PROGRAMS at St. Catharine College.

**Groups/Persons Consulted**

Content Area Program Reviewers  
Continuous Assessment Review Committee  
Reading Committee  
State Board of Examiners Team  
Accreditation Audit Committee

**Alternative Actions:**

**Issue One: Unit Accreditation**

1. Accept the recommendation of the AAC and grant ACCREDITATION for St. Catharine College.
2. Modify the AAC recommendation and grant PROVISIONAL ACCREDITATION for St. Catharine College.
3. Do not accept the AAC recommendation and stipulate DENIAL OF ACCREDITATION for St. Catharine College.

**Issue Two: Program Approval**

1. Accept the recommendation of the AAC and grant APPROVAL for the initial level educator preparation programs at St. Catharine College.
2. Modify the AAC recommendation and grant APPROVAL WITH CONDITIONS for the initial level educator preparation programs at St. Catharine College.
3. Do not accept the AAC recommendation and stipulate DENIAL OF APPROVAL for the initial level educator preparation programs at St. Catharine.

**AAC Recommendation:**

Issue One: Alternative 1

Issue Two: Alternative 1

**Rationale:**

The State BOE team and AAC followed national and state guidelines for accreditation of educator preparation programs.

**Contact Person:**

Dr. Marilyn Troupe, Director  
Division of Educator Preparation  
(502) 564-4606  
E-mail: marilyn.troupe@ky.gov

---

**Executive Director**

**Date:**

May 17, 2010

Agenda Book  
**Accreditation Audit Committee (AAC)**  
**Education Professional Standards Board**  
**Conference Room A**  
**April 1, 2010**

**MEETING MINUTES**

**Members Present:**

Judi Conrad, Chair  
Joy Gray  
Shirley Nelson  
Jack Rose  
Tim Watkins  
Zella Wells

**EPSB Staff Present:**

Marilyn Troupe  
Allison Bell  
Tony Campbell

**Members Absent:**

Susan Compton

The meeting was called to order at 9:05 and Judi Conrad announced a quorum was present.

**APPROVAL OF MINUTES:**

*Motion made by Jack Rose, seconded by Zella Wells, to approve the minutes from the June 19, 2009 AAC meeting.*

Vote: Approve the motion (Yes: *Unanimous (6-0)*)

The AAC reviewed the documentation including the Institutional Report (IR), Board of Examiner (BOE) team report, and the institutional rejoinder, and made recommendations for accreditation for:

**ST. CATHARINE COLLEGE**

Rebecca Powell, Chair of the Board of Examiners (BOE) team, presented the report on behalf of the team. She identified each of the team members and attested to the thoroughness of the visit. She was complementary of the hospitality shown by St. Catharine College. She indicated the unit has a strong support system as shown by its administration and a workable assessment system. She attested that the BOE team found all standards had been met with two areas for improvement in Standard 2 and one in Standard 5.

Sr. Angie Shaughnessy, Chair of the Division of Education at St. Catharine College, commented on behalf of the institution. She was complimentary of the team and affirmed the findings of the BOE team. She also affirmed the thoroughness of the visit and provided a handout addressing the progress of the institution since the submission of the BOE Report rejoinder. Sr. Angie also introduced other St. Catharine College representatives: Jim Silliman, Mary Jones, Roger Marcum, David Arnold, Lee Edington, and Jan Lantz, who will be taking over as chair of the division beginning June 1. Mary Lauer, Coordinator of Field Experience, indicated that the process was challenging and affirmed that it was beneficial for SCC self-review as well as confirmation that their graduates were prepared. She also indicated that the team was well prepared and professional.

## Agenda Book

Committee members discussed the following issues: Specialty Professional Association (SPA) Standards and the assessment system, documentation of the evidence of P-12 involvement, and faculty expertise in technology. The AAC reviewed the areas for improvement and the standards and reached consensus on the following:

### New Areas for Improvement:

#### Standard 2: Assessment System and Unit Evaluation

- 1) The assessment system does not clearly reflect the conceptual framework.
- 2) There is no evidence that the unit has involved its P-12 community in the development of its assessment system.

#### Standard 5: Faculty Qualifications, Performance, and Development

- 1) Not all faculty have expertise in the areas in which they teach.

Following appropriate meeting protocol, the AAC made the following decisions:

- 1) Voted (6-0) that the BOE team followed approved accreditation guidelines when conducting the visit.
- 2) Voted (5-1 - Jack Rose dissented on Standard 2 number 2) to agree with the areas for improvement cited in the BOE Report.
- 3) Voted (6-0) that all standards are met.

In addition to decisions identified above, the AAC recommends **PROGRAM APPROVAL** and **ACCREDITATION** for **St. Catharine College** (Vote: 6-0).

### Biennial Review

As required by Section 5 of 16 KAR 5:010, the AAC reviewed the 2008 and 2009 annual reports (Parts A, B, and C) for the following five institutions: Asbury College, Lindsey Wilson College, Mid-Continent University, Northern Kentucky University, and Transylvania University.

### Next Meeting

The next meeting of the AAC will be Tuesday, June 22, beginning at 9:00 with Centre College being the first institution on the agenda followed by Union College.

The committee wanted to document its gratefulness to Diane Woods-Ayers and Ann Wall who had served for many years on the committee. Judi Conrad also was complimentary of EPSB staff.

Meeting adjourned at 11:00 a.m.

## Agenda Book

### 16 KAR 5:010. Standards for accreditation of educator preparation units and approval of programs.

RELATES TO: KRS 161.028, 161.030, 164.945, 164.946, 164.947, 20 U.S.C. 1021-1030

STATUTORY AUTHORITY: KRS 161.028, 161.030

NECESSITY, FUNCTION, AND CONFORMITY: KRS 161.028(1) authorizes the Education Professional Standards Board to establish standards and requirements for obtaining and maintaining a teaching certificate and for programs of preparation for teachers and other professional school personnel, and KRS 161.030(1) requires all certificates issued under KRS 161.010 to 161.126 to be issued in accordance with the administrative regulations of the board. This administrative regulation establishes the standards for accreditation of an educator preparation unit and approval of a program to prepare an educator....

Section 20. Official State Accreditation Action by the Education Professional Standards Board. (1) A recommendation from the Accreditation Audit Committee shall be presented to the full EPSB.

(2) The EPSB shall consider the findings and recommendations of the Accreditation Audit Committee and make a final determination regarding the state accreditation of the educator preparation unit.

(3) Decision options following a first accreditation visit shall include:

(a) Accreditation.

1. This accreditation decision indicates that the unit meets each of the six (6) NCATE standards for unit accreditation. Areas for improvement may be cited, indicating problems warranting the institution's attention. In its subsequent annual reports, the professional education unit shall be expected to describe progress made in addressing the areas for improvement cited in the EPSB's action report.

2. The next on-site visit shall be scheduled five (5) years following the semester of the visit;

(b) Provisional accreditation.

1. This accreditation decision indicates that the unit has not met one (1) or more of the NCATE standards. The unit has accredited status but shall satisfy provisions by meeting each previously-unmet standard. EPSB shall require submission of documentation that addresses the unmet standard or standards within six (6) months of the accreditation decision, or shall schedule a visit focused on the unmet standard or standards within two (2) years of the semester that the provisional accreditation decision was granted. If the EPSB decides to require submission of documentation, the institution may choose to waive that option in favor of the focused visit within two (2) years. Following the focused visit, the EPSB shall decide to:

a. Accredit; or

b. Revoke accreditation.

2. If the unit is accredited, the next on-site visit shall be scheduled for five (5) years following the semester of the first accreditation visit;

(c) Denial of accreditation. This accreditation decision indicates that the unit does not meet one (1) or more of the NCATE standards, and has pervasive problems that limit its capacity to offer quality programs that adequately prepare candidates; or

(d) Revocation of accreditation. This accreditation decision indicates that the unit has not sufficiently addressed the unmet standard or standards following a focused visit.

(4) Decision options following a continuing accreditation visit shall include:

(a) Accreditation.

1. This accreditation decision indicates that the unit meets each of the six (6) NCATE standards for unit accreditation. Areas for improvement may be cited, indicating problems warranting the institution's attention. In its subsequent annual reports, the professional education unit shall be expected to describe progress made in addressing the areas for improvement cited in EPSB's action report.

2. The next on-site visit shall be scheduled for seven (7) years following the semester of the visit;

(b) Accreditation with conditions.

1. This accreditation decision indicates that the unit has not met one (1) or more of the NCATE standards. If the EPSB renders this decision, the unit shall maintain its accredited status, but shall satisfy conditions by meeting previously unmet standards. EPSB shall require submission of documentation that addresses the unmet standard or standards within six (6) months of the decision to accredit with conditions, or shall schedule a visit focused on the unmet standard or standards within two (2) years of the semester that the accreditation with conditions decision was granted. If the EPSB decides to require submission of documentation, the institution may choose to waive that option in favor of the focused visit within two (2) years. Following the focused visit, the EPSB shall decide to:

a. Continue accreditation; or

b. Revoke accreditation.

2. If the EPSB renders the decision to continue accreditation, the next on-site visit shall be scheduled for seven (7) years following the semester in which the continuing accreditation visit occurred;

(c) Accreditation with probation.

1. This accreditation decision indicates that the unit has not met one (1) or more of the NCATE standards and has pervasive problems that limit its capacity to offer quality programs that adequately prepare candidates. As a result of the continuing accreditation review, the EPSB has determined that areas for improvement with respect to standards may place an institution's accreditation in jeopardy if left uncorrected. The institution shall schedule an on-site visit within two (2) years of the semester in which the probationary decision was rendered. This visit shall mirror the process for first accreditation. The unit as part of this visit shall address all NCATE standards in effect at the time of the probationary review at the two (2) year point. Following the on-site review, the EPSB shall decide to:

a. Continue accreditation; or

b. Revoke accreditation.

2. If accreditation is continued, the next on-site visit shall be scheduled for five (5) years after the semester of the probationary visit; or

(d) Revocation of accreditation. Following a comprehensive site visit that occurs as a result of an EPSB decision to accredit with probation or to accredit with conditions, this accreditation decision indicates that the unit does not meet one (1) or more of the NCATE standards, and has pervasive problems that limit its capacity to offer quality programs that adequately prepare candidates. Accreditation shall be revoked if the unit:

## Agenda Book

1. No longer meets preconditions to accreditation, such as loss of state approval or regional accreditation;
  2. Misrepresents its accreditation status to the public;
  3. Falsely reports data or plagiarized information submitted for accreditation purposes; or
  4. Fails to submit annual reports or other documents required for accreditation.
- (5) Notification of EPSB action to revoke continuing accreditation or deny first accreditation, including failure to remove conditions, shall include notice that:
- (a) The institution shall inform students currently admitted to a certification or rank program of the following:
    1. A student recommended for certification or advancement in rank within the twelve (12) months immediately following the denial or revocation of state accreditation and who applies to the EPSB within the fifteen (15) months immediately following the denial or revocation of state accreditation shall receive the certificate or advancement in rank; and
    2. A student who does not meet the criteria established in subparagraph 1 of this paragraph shall transfer to a state accredited education preparation unit in order to receive the certificate or advancement in rank; and
  - (b) An institution for which the EPSB has denied or revoked accreditation shall seek state accreditation through completion of the first accreditation process. The on-site accreditation visit shall be scheduled by the EPSB no earlier than two (2) years following the EPSB action to revoke or deny state accreditation...

Agenda Book  
**EDUCATION PROFESSIONAL STANDARDS BOARD  
STAFF NOTE**

**Action Item, Waiver A**

**Action Item:**

Waiver of the Cooperating Teacher Eligibility Requirements

**Applicable Regulation:**

16 KAR 5:040, Section 2 (c)

**Applicable Goal:**

Goal I: Every approved educator preparation program meets or exceeds all accreditation standards and prepares knowledgeable, capable teachers and administrators who demonstrate effectiveness in helping all students reach educational achievement.

**Issue:**

Should the Education Professional Standards Board (EPSB) grant a waiver of the cooperating teacher eligibility requirements?

**Background:**

Dr. Mary John O'Hair, Dean, College of Education at the University of Kentucky (UK), is requesting a waiver of Regulation 16 KAR 5:040, Section 2 (c) which requires a cooperating teacher to have "at least three (3) years of teaching experience on a Professional Certificate." The request is for the fall 2010 semester.

The University of Kentucky would like to employ Ms. Rebecca Stephenson as a cooperating teacher for the fall 2010 semester. Ms. Stephenson is a dance teacher at the School for the Creative and Performing Arts (SCAPA) in the Fayette County Public Schools. She has a Master of Fine Arts in Dance and sixteen (16) years of teaching experience with the last nine (9) years at SCAPA. Ms. Stephenson is a graduate of the UK Dance Education Program, but she only has one year of experience as a certified teacher.

The waiver request is supported by the SCAPA principal, Ms. Marilyn Cunningham. Letters from Dean O'Hair and Principal Cunningham are included as supporting documentation for this request. Pertinent parts of the regulation are attached.

**Alternative Actions:**

1. Approve the waiver request of 16 KAR 5:040, Section 2 (c)
2. Deny the waiver request of 16 KAR 5:040, Section 2 (c)

**Contact Person:**

Dr. Marilyn Troupe, Director  
Division of Educator Preparation  
(502) 564-4606  
E-mail: marilyn.troupe@ky.gov

---

**Executive Director**

**Date:**

May 17, 2010



## Agenda Book

### 16 KAR 5:040. Admission, placement, and supervision in student teaching.

RELATES TO: KRS 161.020, 161.028, 161.030, 161.042

STATUTORY AUTHORITY: KRS 161.028, 161.030, 161.042

NECESSITY, FUNCTION, AND CONFORMITY: KRS 161.028 requires that an educator preparation institution be approved for offering the preparation program corresponding to a particular certificate on the basis of standards and procedures established by the Education Professional Standards Board. KRS 161.030 requires that a certificate shall be issued to a person who has completed a program approved by the Education Professional Standards Board. KRS 161.042 requires the Education Professional Standards Board to promulgate an administrative regulation relating to student teachers, including the qualifications for supervising teachers. This administrative regulation establishes the standards for admission, placement, and supervision in student teaching.

Section 1. Definition. "Cooperating teacher" or "supervising teacher" means a teacher employed in a school in Kentucky who is contracting with an educator preparation institution to supervise a student teacher for the purpose of fulfilling the student teaching requirement of the approved educator preparation program.

Section 2. Cooperating Teacher Eligibility Requirements. (1) Except as provided in subsection (2) of this section, the cooperating teacher, whether serving in a public or nonpublic school, shall have:

- (a) A valid Kentucky teaching certificate for each grade and subject taught;
- (b) Attained Rank II certification;
- (c) At least three (3) years of teaching experience on a Professional Certificate; and

(d) Taught in the present school system at least one (1) year immediately prior to being assigned a student teacher.

(2) If a cooperating teacher has not attained Rank II certification, the teacher shall have attained a minimum of fifteen (15) hours of approved credit toward a Rank II within a minimum period of five (5) years.

(3) Teachers assigned to a teaching position on the basis of a probationary or emergency certificate issued by the Education Professional Standards Board shall not be eligible for serving as a cooperating teacher.

(4) In selecting a cooperating teacher, the district shall give consideration to the following criteria:

(a) A demonstrated ability to engage in effective classroom management techniques that promote an environment conducive to learning;

(b) An ability to model best practices for the delivery of instruction;

(c) A mastery of the content knowledge or subject matter being taught;

(d) The demonstration of an aptitude and ability to contribute to the mentoring and development of a preservice educator;

(e) An ability to use multiple forms of assessment to inform instruction; and

(f) An ability to create a learning community that values and builds upon students' diverse cultures....



Agenda Book  
**EDUCATION PROFESSIONAL STANDARDS BOARD**  
**STAFF NOTE**

**Action Item, Waiver B**

**Action Item:**

Waiver of the Cooperating Teacher Eligibility Requirements

**Applicable Regulation:**

16 KAR 5:040, Section 2 (b)

**Applicable Goal:**

Goal I: Every approved educator preparation program meets or exceeds all accreditation standards and prepares knowledgeable, capable teachers and administrators who demonstrate effectiveness in helping all students reach educational achievement.

**Issue:**

Should the Education Professional Standards Board (EPSB) grant a waiver of the cooperating teacher eligibility requirements?

**Background:**

Dr. Mark Wasicsko, Dean, College of Education, Northern Kentucky University (NKU), is requesting a waiver of Regulation 16 KAR 5:040, Section 2 (b) which requires a cooperating teacher to have “Attained Rank II certification.” The request is for an Interdisciplinary Early Childhood Education (IECE) student teacher for the fall 2010 semester.

Northern Kentucky University would like to employ Ms. Jennifer Walker as a cooperating teacher. She completed her undergraduate degree at Eastern Kentucky University and is certified in IECE with experience as a Developmental Intervention Specialist. Ms. Walker does not have a master’s degree or the required “minimum of fifteen (15) hours of approved credit toward a Rank II.”

Ms. Walker has been employed at Redwood School for the past three (3) years as a therapeutic curriculum consultant. She completed KTIP and is employed by a school that is accredited, certified by the Kentucky Department of Education, and meets the EPSB requirements for professional experiences.

The principal supports the waiver request and provided positive evidence of the success of the teacher in her current position. A copy of Dean Wasicsko’s letter and the letter from the principal at Redwood are under separate cover. Additionally, a copy of the pertinent part of the regulation is attached.

**Alternative Actions:**

1. Approve the waiver request of 16 KAR 5:040, Section 2 (b)
2. Deny the waiver request of 16 KAR 5:040, Section 2 (b)

**Contact Person:**

Dr. Marilyn Troupe, Director  
Division of Educator Preparation  
(502) 564-4606  
E-mail: marilyn.troupe@ky.gov

---

**Executive Director**

**Date:**

May 17, 2010

## Agenda Book

### 16 KAR 5:040. Admission, placement, and supervision in student teaching.

RELATES TO: KRS 161.020, 161.028, 161.030, 161.042

STATUTORY AUTHORITY: KRS 161.028, 161.030, 161.042

NECESSITY, FUNCTION, AND CONFORMITY: KRS 161.028 requires that an educator preparation institution be approved for offering the preparation program corresponding to a particular certificate on the basis of standards and procedures established by the Education Professional Standards Board. KRS 161.030 requires that a certificate shall be issued to a person who has completed a program approved by the Education Professional Standards Board. KRS 161.042 requires the Education Professional Standards Board to promulgate an administrative regulation relating to student teachers, including the qualifications for supervising teachers. This administrative regulation establishes the standards for admission, placement, and supervision in student teaching.

Section 1. Definition. "Cooperating teacher" or "supervising teacher" means a teacher employed in a school in Kentucky who is contracting with an educator preparation institution to supervise a student teacher for the purpose of fulfilling the student teaching requirement of the approved educator preparation program.

Section 2. Cooperating Teacher Eligibility Requirements. (1) Except as provided in subsection (2) of this section, the cooperating teacher, whether serving in a public or nonpublic school, shall have:

- (a) A valid Kentucky teaching certificate for each grade and subject taught;
- (b) Attained Rank II certification;
- (c) At least three (3) years of teaching experience on a Professional Certificate; and

(d) Taught in the present school system at least one (1) year immediately prior to being assigned a student teacher.

(2) If a cooperating teacher has not attained Rank II certification, the teacher shall have attained a minimum of fifteen (15) hours of approved credit toward a Rank II within a minimum period of five (5) years.

(3) Teachers assigned to a teaching position on the basis of a probationary or emergency certificate issued by the Education Professional Standards Board shall not be eligible for serving as a cooperating teacher.

(4) In selecting a cooperating teacher, the district shall give consideration to the following criteria:

(a) A demonstrated ability to engage in effective classroom management techniques that promote an environment conducive to learning;

(b) An ability to model best practices for the delivery of instruction;

(c) A mastery of the content knowledge or subject matter being taught;

(d) The demonstration of an aptitude and ability to contribute to the mentoring and development of a preservice educator;

(e) An ability to use multiple forms of assessment to inform instruction; and

(f) An ability to create a learning community that values and builds upon students' diverse cultures....



Agenda Book  
**EDUCATION PROFESSIONAL STANDARDS BOARD**  
**STAFF NOTE**

**Action Item, Waiver C**

**Action Item:**

Request to waive language in 16 KAR 8:030 pertaining to the Continuing Education Option

**Applicable Statutes and Regulation:**

KRS 161.020, 161.028, 161.030, 161.095, 161.1211  
16 KAR 8:030

**Applicable Goal:**

Goal III: A properly credentialed person shall staff every professional position in Kentucky's public schools.

**Issue:**

Should the Education Professional Standards Board (EPSB) waive the requirement of *Take One!* of the Continuing Education Option (CEO)?

**Background:**

During its May 18, 2009 meeting, the EPSB approved the CEO Task Force recommendations regarding the CEO program. One of those recommendations was the inclusion of *Take One!* as indicated below:

**Inclusion of *Take One!***

“To increase the rigor and to allow for a natural progression into the National Board for Professional Teaching Standards (NBPTS) process, the task force recommends that candidates complete *Take One!* This program is designed to be a job-embedded, ongoing professional development experience that helps build learning communities in schools and strengthens professional collaboration among educators. The score a teacher receives from *Take One!* may be banked to meet one of the standards for NBPTS, should the teacher choose to follow the NBPTS route. *Take One!* is initiated after plan approval. Evidence of successful completion of *Take One!* is provided in the CEO portfolio.”

To date, four education agencies have agreed to sponsor the CEO: Green River Regional Education Cooperative, Central Kentucky Education Cooperative, Kentucky Education Development Corporation, and the Jessamine County School District. EPSB staff members have worked collaboratively with these agencies to develop a sponsor application, CEO orientation, and CEO coaches' training. Through each of these steps, the inclusion of *Take One!* has consistently been a barrier to successful implementation. The following situations have occurred:

1. The registration for *Take One!* and the date of score release will not allow a candidate to successfully complete *Take One!* by the 18 months cited in 16 KAR 8:030.
2. Candidates who enroll in a fall CEO cohort and register for *Take One!* will be able to receive scores the following December, which allows them to complete CEO within 18 months. Candidates who enroll in a spring CEO cohort and register for *Take One!* will

## Agenda Book

not receive scores for 24 months. This causes an unintended advantage for one cohort over another.

3. The new requirements of the redesigned CEO program duplicate work between CEO and *Take One!* Concerns have been raised about allowing candidates to use the information from the instructional unit and/or leadership project for *Take One!* According to National Board regulation, the process of *Take One!* must be a separate submission of materials and content. By including *Take One!* in the CEO, we place candidates in the position of violating ethics agreements with National Board.

The EPSB staff has discussed these issues with CEO Task Force members, sponsors, and coaches. During these discussions the following points were made:

1. The CEO already provides for a natural progression to the National Board without the inclusion of *Take One!*
2. The double blind scoring of both the CEO Plan and the CEO Portfolio adds more reliability to the scoring process.
3. The development of the Leadership Project, the inclusion of the graduate courses, and the use of required templates add more validity and reliability to the program. The requirement of graduate courses also provides an external measure of teacher performance by a university.
4. If the *Take One!* requirement is eliminated, all who enter the CEO program will have equal opportunity to complete the CEO in 18 months.

Based on these discussions, the staff requests a waiver of the *Take One!* requirement for CEO for the 2010 cohorts, with the intent of presenting future staff notes for removal of this requirement from the program.

### **Alternative Actions:**

1. Approve the waiver request
2. Deny the waiver request

### **Contact Person**

Mr. Robert Brown, Director  
Division of Professional Learning and Assessment  
(502) 564 – 4606  
E-mail: robertl.brown@ky.gov

---

**Executive Director**

### **Date:**

May 17, 2010

**16 KAR 8:030. Continuing Education Option for certificate renewal and rank change.**

RELATES TO: KRS 161.020, 161.028, 161.030, 161.1211

STATUTORY AUTHORITY: KRS 161.020, 161.028(1)(a), (f), (q), 161.030, 161.095, 161.1211

NECESSITY, FUNCTION, AND CONFORMITY: KRS 161.095 requires the Education Professional Standards Board to promulgate an administrative regulation establishing procedures for a teacher to maintain a certificate by successfully completing meaningful continuing education. KRS 161.028(1)(f), and 161.030 authorize the board to issue and renew certification for professional school personnel in the board, and KRS 161.028(1)(q) authorizes the board to charge reasonable certification fees. KRS 161.1211 establishes certificate ranks and requires the board to issue rank classifications. This administrative regulation establishes the procedures for the continuing education option for certificate renewal and rank change.

Section 1. Procedures for the first and second renewal of the professional teaching certificate established in 16 KAR 2:010 shall require completion of:

- (1) The continuing education option established in this administrative regulation; or
- (2) A planned fifth-year program established in 16 KAR 8:020.

Section 2. The Continuing Education Option shall only be used to obtain either Rank II or Rank I.

Section 3. Program Requirements. (1) The continuing education option shall consist of four (4) phases:

(a) Phase one (1): Completion of an instructional seminar established in Section 4 of this administrative regulation as of this administrative regulation and development of a plan for job-embedded professional development;

(b) Phase two (2): Content exploration and research;

(c) Phase three (3): Student instruction and assessment; and

(d) Phase four (4): Professional demonstration and publication.

(2) In addition to the completion of the four (4) phases established in subsection (1)(a) through (d) of this section, a candidate for the Continuing Education Option shall:

(a) Develop a leadership project aligned to the job-embedded professional development established in subsection (1)(a) of this section;

(b) Complete a minimum of six (6) graduate credit hours, with an average grade point average of three and zero-tenths (3.0) aligned to the job-embedded professional development established in subsection (1)(a) of this section; and

(c) Complete the "Take One!" component for National Board Teacher Certification with a successful score....

Section 4. (1) A candidate for the continuing education option for certificate renewal and rank change shall:

(a) Attend board-approved a program orientation meeting; and

(b) Successfully complete a board-approved seminar on how to build a plan for job-embedded professional development.

(2)(a)1. A school district, group of districts, or Kentucky postsecondary institution with an accredited educator preparation program may make application to the Education Professional Standards Board for approval to sponsor a seminar on how to build a plan for job-embedded professional development.

2. The Education Professional Standards Board may sponsor a seminar on how to build a plan for job-embedded professional development in a district or group of districts in which a seminar is not otherwise offered.

(b) The seminar on how to build a plan for job-embedded professional development shall be led by a continuing education option coach.

## Agenda Book

(c) The seminar on how to build a plan for job-embedded professional development may be a blend of:

1. Web-based instruction; and
2. Face-to-face cohort meetings.

(d) The Education Professional Standards Board may provide Web-based instruction through an on-line module at [www.KYEducators.org](http://www.KYEducators.org).

(e) A seminar sponsor shall offer face-to-face cohort meetings at least two (2) times per month during the plan building seminar.

(3) Following completion of phase one (1) of the continuing education option, a seminar sponsor shall continue face-to-face cohort meetings on a monthly basis.

(4) Completion of the first phase of the Continuing Education Option shall allow the candidate to receive first renewal of the candidate's certificate beginning July 30, 2010.

(5) Payment of seminar tuition.

(a)1. Tuition for the on-line module provided by the Education Professional Standards Board shall be \$150; and

2. The on-line module fee shall be paid to the Education Professional Standards Board at the time of enrollment.

(b)1. Tuition for the cohort meetings shall be \$1,100; and

2. The cohort meeting fee shall be paid to the board-approved seminar sponsor.

(c)1. Seminar tuition shall be nonrefundable.

2. A cohort meeting fee may be transferred to another seminar sponsor upon agreement between both sponsors.

(6)(a) Upon completion of the seminar, the Continuing Education Option candidate shall design an individual job-embedded professional development plan.

(b) The job-embedded professional development plan shall:

1. Focus on a professional growth need identified by the teacher with consideration given to the needs identified in the school's consolidated plan, student assessment results, and community resources;

2. Include goals correlated to:

a. Each of the ten (10) Kentucky Teacher Standards established in 16 KAR 1:010;

b. The Kentucky Teacher Standards Advanced Level Performance in the CEO Professional Development Portfolio Rubric; and

c. The teacher's individual professional growth needs established in clause 1. of this paragraph;

3. Include a timeline in which the candidate shall complete all phases of the continuing education option. The timeline shall not:

a. Be less than eighteen (18) months; or

b. Be more than four (4) years; and

4. Be reviewed by the continuing education option coach for the seminar cohort.

c. The continuing education option coach shall:

1. Review the plans using the CEO Professional Development Plan Scoring Rubric; and

2. Provide guidance to the candidate for submitting the plan to the Education Professional Standards Board for scoring.

(d)1. The candidate shall submit the plan to the Education Professional Standards Board for review.

2. The candidate may resubmit the plan for an additional scoring if the continuing education scoring team has provided evidence of a deficiency in the plan.

3. The candidate shall submit a scoring fee of \$455 to the Education Professional Standards Board with the plan.

4. If a candidate submits a plan for additional scoring, the candidate shall submit a rescoring fee of fifty (50) dollars to the Education Professional Standards Board with the plan.

(7)(a) The candidate shall participate in a job-embedded professional development experience with documented outcomes that demonstrate the accomplishment of the established goals.

(b) A job-embedded professional development experience shall include a combination of:

1.a. A minimum of six (6) university graduate credits; or

## Agenda Book

b. With approval from Education Professional Standards Board staff, a combination of a minimum of six (6) university graduate or undergraduate content course credits that meet the goals established in the candidate's job-embedded professional growth plan;

2. Research;

3. Field-experience;

4. Professional development activities;

5. Interdisciplinary networking and consultations;

6. The "Take One!" component aligned with the candidate's area of certification as established by the National Board of Professional Teaching Standards; and

7. A leadership project.

(8)(a) The evidence of accomplishment of the goals identified in the plan shall be documented by the candidate in a portfolio.

(b) The candidate shall present the portfolio to the Education Professional Standards Board for review and scoring.

(c) The documentation in the portfolio shall provide evidence:

1. That all Kentucky teacher standards Advanced Level Performance Indicators have been met;

2. Of the effects on student learning; and

3. Of the professional growth over time in:

a. Content knowledge;

b. Instructional and student assessment practices; and

c. Professional demonstration and publication skills.

(d) The portfolio shall be presented using a variety of media, which may include electronic recordings.

(e) The portfolio shall be submitted to the Education Professional Standards Board at least one (1) year in advance of the expiration date of the teacher's certificate.

(f) The portfolio shall be submitted in either:

1. A traditional paper format with other media; or

2. An electronic format.

(g) A portfolio shall not exceed three (3) four (4) inch binders in size or its electronic equivalent....



Agenda Book  
**EDUCATION PROFESSIONAL STANDARDS BOARD**  
**STAFF NOTE**

**Action Item, Waiver D**

**Action Item:**

Request for Extension to Complete Master's Degree

**Applicable Statutes and Regulations:**

16 KAR 2:010, Section 3

**Applicable Goal:**

Goal 2: Every professional position in a Kentucky public school is staffed by a properly credentialed educator.

**Issue:**

Should the Educational Professional Standards Board (EPSB) grant a second one-year extension to complete the required master's degree?

**Background:**

Ms. Anita Bentley was initially issued a certificate from 2000-2005 in Art, Grades 9-12. In 2005, she requested and received a one-time, one-year extension from the Division of Certification for the 2005-06 school year to complete the first 15 hours of her master's degree program. Due to a recent illness, Ms. Bentley is now requesting an additional one-year extension for the 2010-11 school year so she may complete her master's course work.

Her supporting materials and recommendations are under separate cover.

**Alternative Actions:**

1. Approve the waiver request
2. Deny the waiver request

**Contact Person:**

Mr. Michael C. Carr, Director  
Division of Certification  
(502) 564-4606  
E-mail: mike.carr@ky.gov

---

**Executive Director**

**Date:**

May 17, 2010



## Agenda Book

### 16 KAR 2:010. Kentucky teaching certificates.

RELATES TO: KRS 158.6451, 161.020, 161.028(1), 161.030

STATUTORY AUTHORITY: KRS 161.028(1)(a), (b), (f), 161.030

NECESSITY, FUNCTION, AND CONFORMITY: KRS 161.028(1)(a) requires the Education Professional Standards Board to establish the standards for obtaining and maintaining a teaching certificate. KRS 161.028(1)(b) requires the board to set standards for programs for the preparation of teachers and other professional school personnel. KRS 161.028(1)(f) requires the board to issue and renew any certificate. This administrative regulation establishes the Kentucky certification to be issued for teaching positions.

Section 1. Definitions. (1) "Approved program of preparation" means a program which has been approved by the Education Professional Standards Board under 16 KAR 5:010 for a specific certification or which has been approved for certification by the state education agency of another state.

(2) "Assessments" means the tests of knowledge and skills authorized by KRS 161.030 and established in 16 KAR 6:010.

(3) "Base certificate" means a stand-alone license to teach which encompasses authorization to teach introductory and interdisciplinary courses in related fields.

(4) "Beginning teacher internship" means one (1) year of supervision, assistance, and assessment required by KRS 161.030 and established in 16 KAR 7:010.

(5) "Certificate endorsement" means an addition to a base or restricted base certificate, which is limited in scope and awarded on the basis of completion of an endorsement program or a combination of educational requirements, assessments and experience as outlined in Section 5 of this administrative regulation.

(6) "Certificate extension" means an additional base or restricted base certificate in a content area or grade range.

(7) "Kentucky teacher standards" means the standards established in 16 KAR 1:010 that identify what a Kentucky teacher shall know and be able to do.

(8) "Major" means an academic area of concentration consisting of at least thirty (30) hours of coursework.

(9) "Professional teaching certificate" means the document issued to:

(a) An individual upon successful completion of the beginning teacher internship; or

(b) An applicant for whom the testing and internship requirement is waived under KRS 161.030 based on preparation and experience completed outside Kentucky.

(10) "Provisional teaching certificate" means the document issued to an individual for the duration of the beginning teacher internship program.

(11) "Restricted base certificate" means a stand-alone license to teach in a specific subject area of certification which is the only subject area that can be taught under this limited certificate.

(12) "Statement of eligibility" means the document issued to an applicant upon completion of an approved program of preparation and successful completion of the assessments.

Section 2. Certificate Issuance. (1) A statement of eligibility for a provisional teaching certificate shall be issued to an applicant who has successfully completed:

(a) 1. At least a bachelor's degree with:

a. A cumulative grade point average of 2.50 on a 4.0 scale; or

b. A grade point average of 3.00 on a 4.0 scale on the last sixty (60) hours of credit completed, including undergraduate and graduate coursework; or

2. As required by Section 4(2)(g)6 or (4)(e) of this administrative regulation, a master's degree with:

a. A cumulative grade point average of 2.50 on a 4.0 scale; or

b. A grade point average of 3.00 on a 4.0 scale on the last sixty (60) hours of credit completed, including undergraduate and graduate coursework;

(b) An approved program of preparation; and

(c) The assessments corresponding to the certificate identified in Section 4 of this administrative regulation for which application is being made.

(2) Upon confirmation of employment in an assignment for the grade level and specialization identified on a valid statement of eligibility, a Provisional Teaching Certificate shall be issued for the duration of the beginning teacher internship established under KRS 161.030.

(3) Upon successful completion of the internship, a Professional Teaching Certificate shall be issued, valid for a four (4) year period.

Section 3. Professional Teaching Certificate Renewal. (1) The renewal shall require completion of a fifth-year program of preparation which is consistent with:

(a) The Kentucky teacher standards established in 16 KAR 1:010; or

(b) The standards adopted by the Education Professional Standards Board for a particular professional education specialty and established in an applicable administrative regulation in KAR Title 16.

(2) The first five (5) year renewal shall require:

(a) Completion of a minimum of fifteen (15) semester hours of graduate credit applicable to the fifth-year program established in 16 KAR 8:020 by September 1 of the year of expiration of the certificate; or

(b) Completion of the professional development plan and a partial portfolio for the continuing education option established in 16 KAR 8:030.

## Agenda Book

- (3) The second five (5) year renewal shall require:
- (a) Completion of the fifth-year program established in 16 KAR 8:020 by September 1 of the year of expiration of the certificate; or
  - (b) Completion of the professional development plan and a full portfolio for the continuing education option established in 16 KAR 8:030.

- (4) Each subsequent five (5) year renewal shall require completion of the renewal requirements established in 16 KAR 4:060.

Section 4. Grade Levels and Specializations. (1) Preparation for a teaching certificate shall be based on:

- (a) The Kentucky teacher standards established in 16 KAR 1:010;
  - (b) The accreditation and program approval standards established in 16 KAR 5:010, including the content standards of the relevant national specialty program associations; and
  - (c) The goals for the schools of the Commonwealth specified in KRS 158.6451 and the student academic expectations established in 703 KAR 4:060.
- (2) A base certificate shall be issued specifying one (1) or more of the following grade level and specialization authorizations:
- (a) Interdisciplinary early childhood education, birth to primary, established in 16 KAR 2:040;
  - (b) Elementary school: primary through grade five (5) to include preparation in the academic disciplines taught in the elementary school.
    - 1. The elementary certificate shall be valid for teaching grade six (6) if grade six (6) is taught in a self-contained classroom or in a school organization in which grade six (6) is housed with grade (5) in the same building.
    - 2. A candidate for the elementary certificate may simultaneously prepare for certification for teaching exceptional children.
  - (c) 1. Middle school option 1: grades five (5) through nine (9) with the equivalent of one (1) major to be selected from:
    - a. English and communications;
    - b. Mathematics;
    - c. Science; or
    - d. Social studies;
  - 2. Middle school option 2: grades five (5) through nine (9) with two (2) middle school teaching fields to be selected from:
    - a. English and communications;
    - b. Mathematics;
    - c. Science; or
    - d. Social studies;
  - 3. A candidate who chooses to simultaneously prepare for teaching in the middle school and for an additional base or restricted base certificate issued under this subsection or subsection (3) of this section, including certification for teaching exceptional children, shall be required to complete one (1) middle school teaching field;
  - (d) Secondary school: grades eight (8) through twelve (12) with one (1) or more of the following majors:
    - 1. English;
    - 2. Mathematics;
    - 3. Social studies;
    - 4. Biology;
    - 5. Chemistry;
    - 6. Physics; or
    - 7. Earth science;
  - (e) Grades five (5) through twelve (12) with one (1) or more of the following majors:
    - 1. Agriculture;
    - 2. Business and marketing education;
    - 3. Family and consumer science;
    - 4. Industrial education; or
    - 5. Technology education;
  - (f) All grade levels with one (1) or more of the following specialties:
    - 1. Art;
    - 2. A foreign language;
    - 3. Health;
    - 4. Physical education;
    - 5. Integrated music;
    - 6. Vocal music;
    - 7. Instrumental music; or
    - 8. School media librarian; or
  - (g) Grades primary through twelve (12) for teaching exceptional children and for collaborating with teachers to design and deliver programs for preprimary children, for one (1) or more of the following disabilities:
    - 1. Learning and behavior disorders;
    - 2. Moderate and severe disabilities;
    - 3. Hearing impaired;
    - 4. Hearing impaired with sign proficiency;
    - 5. Visually impaired;
    - 6. Communication disorders, valid at all grade levels for the instruction of exceptional children and youth with communication disorders, which shall require a master's degree in communication or speech language pathology, in accordance with 16 KAR 2:050, Section 2; or
    - 7. Communication disorders - SLPA only, valid at all grade levels for the instruction of exceptional children and youth with communication disorders, which shall require a baccalaureate degree in communication or speech language pathology, in accordance with 16 KAR 2:050, Section 3....

Agenda Book  
**EDUCATION PROFESSIONAL STANDARDS BOARD  
STAFF NOTE**

**Action Item, Waiver E**

**Action Item:**

Request for Extension to Complete Master's Degree

**Applicable Statutes and Regulations:**

16 KAR 2:010, Section 3

**Applicable Goal:**

Goal 2: Every professional position in a Kentucky public school is staffed by a properly credentialed educator.

**Issue:**

Should the Educational Professional Standards Board (EPSB) grant a second one-year extension to complete the required master's degree?

**Background:**

Ms. Mary McCord was initially issued a certificate from 2000-2005 in Moderate and Severe Disabilities, Grades P-12. In 2005, she requested and received a one-time, one year extension from the Division of Certification to complete the first 15 hours of her master's degree program. Due to a recent illness, Ms. McCord is now requesting an additional one-year extension for the 2010-11 school year so she may complete her Master's course work.

Her supporting materials and recommendations are attached.

**Alternative Actions:**

1. Approve the waiver request
2. Deny the waiver request

**Contact Person:**

Mr. Michael C. Carr, Director  
Division of Certification  
(502) 564-4606  
E-mail: [mike.carr@ky.gov](mailto:mike.carr@ky.gov)

---

**Executive Director**

**Date:**

May 17, 2010



## Agenda Book

### 16 KAR 2:010. Kentucky teaching certificates.

RELATES TO: KRS 158.6451, 161.020, 161.028(1), 161.030

STATUTORY AUTHORITY: KRS 161.028(1)(a), (b), (f), 161.030

NECESSITY, FUNCTION, AND CONFORMITY: KRS 161.028(1)(a) requires the Education Professional Standards Board to establish the standards for obtaining and maintaining a teaching certificate. KRS 161.028(1)(b) requires the board to set standards for programs for the preparation of teachers and other professional school personnel. KRS 161.028(1)(f) requires the board to issue and renew any certificate. This administrative regulation establishes the Kentucky certification to be issued for teaching positions.

Section 1. Definitions. (1) "Approved program of preparation" means a program which has been approved by the Education Professional Standards Board under 16 KAR 5:010 for a specific certification or which has been approved for certification by the state education agency of another state.

(2) "Assessments" means the tests of knowledge and skills authorized by KRS 161.030 and established in 16 KAR 6:010.

(3) "Base certificate" means a stand-alone license to teach which encompasses authorization to teach introductory and interdisciplinary courses in related fields.

(4) "Beginning teacher internship" means one (1) year of supervision, assistance, and assessment required by KRS 161.030 and established in 16 KAR 7:010.

(5) "Certificate endorsement" means an addition to a base or restricted base certificate, which is limited in scope and awarded on the basis of completion of an endorsement program or a combination of educational requirements, assessments and experience as outlined in Section 5 of this administrative regulation.

(6) "Certificate extension" means an additional base or restricted base certificate in a content area or grade range.

(7) "Kentucky teacher standards" means the standards established in 16 KAR 1:010 that identify what a Kentucky teacher shall know and be able to do.

(8) "Major" means an academic area of concentration consisting of at least thirty (30) hours of coursework.

(9) "Professional teaching certificate" means the document issued to:

(a) An individual upon successful completion of the beginning teacher internship; or

(b) An applicant for whom the testing and internship requirement is waived under KRS 161.030 based on preparation and experience completed outside Kentucky.

(10) "Provisional teaching certificate" means the document issued to an individual for the duration of the beginning teacher internship program.

(11) "Restricted base certificate" means a stand-alone license to teach in a specific subject area of certification which is the only subject area that can be taught under this limited certificate.

(12) "Statement of eligibility" means the document issued to an applicant upon completion of an approved program of preparation and successful completion of the assessments.

Section 2. Certificate Issuance. (1) A statement of eligibility for a provisional teaching certificate shall be issued to an applicant who has successfully completed:

(a) 1. At least a bachelor's degree with:

a. A cumulative grade point average of 2.50 on a 4.0 scale; or

b. A grade point average of 3.00 on a 4.0 scale on the last sixty (60) hours of credit completed, including undergraduate and graduate coursework; or

2. As required by Section 4(2)(g)6 or (4)(e) of this administrative regulation, a master's degree with:

a. A cumulative grade point average of 2.50 on a 4.0 scale; or

b. A grade point average of 3.00 on a 4.0 scale on the last sixty (60) hours of credit completed, including undergraduate and graduate coursework;

(b) An approved program of preparation; and

(c) The assessments corresponding to the certificate identified in Section 4 of this administrative regulation for which application is being made.

(2) Upon confirmation of employment in an assignment for the grade level and specialization identified on a valid statement of eligibility, a Provisional Teaching Certificate shall be issued for the duration of the beginning teacher internship established under KRS 161.030.

(3) Upon successful completion of the internship, a Professional Teaching Certificate shall be issued, valid for a four (4) year period.

Section 3. Professional Teaching Certificate Renewal. (1) The renewal shall require completion of a fifth-year program of preparation which is consistent with:

(a) The Kentucky teacher standards established in 16 KAR 1:010; or

(b) The standards adopted by the Education Professional Standards Board for a particular professional education specialty and established in an applicable administrative regulation in KAR Title 16.

(2) The first five (5) year renewal shall require:

(a) Completion of a minimum of fifteen (15) semester hours of graduate credit applicable to the fifth-year program established in 16 KAR 8:020 by September 1 of the year of expiration of the certificate; or

(b) Completion of the professional development plan and a partial portfolio for the continuing education option established in 16 KAR 8:030.

## Agenda Book

- (3) The second five (5) year renewal shall require:
- (a) Completion of the fifth-year program established in 16 KAR 8:020 by September 1 of the year of expiration of the certificate; or
  - (b) Completion of the professional development plan and a full portfolio for the continuing education option established in 16 KAR 8:030.

- (4) Each subsequent five (5) year renewal shall require completion of the renewal requirements established in 16 KAR 4:060.

Section 4. Grade Levels and Specializations. (1) Preparation for a teaching certificate shall be based on:

- (a) The Kentucky teacher standards established in 16 KAR 1:010;
  - (b) The accreditation and program approval standards established in 16 KAR 5:010, including the content standards of the relevant national specialty program associations; and
  - (c) The goals for the schools of the Commonwealth specified in KRS 158.6451 and the student academic expectations established in 703 KAR 4:060.
- (2) A base certificate shall be issued specifying one (1) or more of the following grade level and specialization authorizations:
- (a) Interdisciplinary early childhood education, birth to primary, established in 16 KAR 2:040;
  - (b) Elementary school: primary through grade five (5) to include preparation in the academic disciplines taught in the elementary school.
    - 1. The elementary certificate shall be valid for teaching grade six (6) if grade six (6) is taught in a self-contained classroom or in a school organization in which grade six (6) is housed with grade (5) in the same building.
    - 2. A candidate for the elementary certificate may simultaneously prepare for certification for teaching exceptional children.
- (c) 1. Middle school option 1: grades five (5) through nine (9) with the equivalent of one (1) major to be selected from:
- a. English and communications;
  - b. Mathematics;
  - c. Science; or
  - d. Social studies;
2. Middle school option 2: grades five (5) through nine (9) with two (2) middle school teaching fields to be selected from:
- a. English and communications;
  - b. Mathematics;
  - c. Science; or
  - d. Social studies;
3. A candidate who chooses to simultaneously prepare for teaching in the middle school and for an additional base or restricted base certificate issued under this subsection or subsection (3) of this section, including certification for teaching exceptional children, shall be required to complete one (1) middle school teaching field;
- (d) Secondary school: grades eight (8) through twelve (12) with one (1) or more of the following majors:
- 1. English;
  - 2. Mathematics;
  - 3. Social studies;
  - 4. Biology;
  - 5. Chemistry;
  - 6. Physics; or
  - 7. Earth science;
- (e) Grades five (5) through twelve (12) with one (1) or more of the following majors:
- 1. Agriculture;
  - 2. Business and marketing education;
  - 3. Family and consumer science;
  - 4. Industrial education; or
  - 5. Technology education;
- (f) All grade levels with one (1) or more of the following specialties:
- 1. Art;
  - 2. A foreign language;
  - 3. Health;
  - 4. Physical education;
  - 5. Integrated music;
  - 6. Vocal music;
  - 7. Instrumental music; or
  - 8. School media librarian; or
- (g) Grades primary through twelve (12) for teaching exceptional children and for collaborating with teachers to design and deliver programs for preprimary children, for one (1) or more of the following disabilities:
- 1. Learning and behavior disorders;
  - 2. Moderate and severe disabilities;
  - 3. Hearing impaired;
  - 4. Hearing impaired with sign proficiency;
  - 5. Visually impaired;
  - 6. Communication disorders, valid at all grade levels for the instruction of exceptional children and youth with communication disorders, which shall require a master's degree in communication or speech language pathology, in accordance with 16 KAR 2:050, Section 2; or
  - 7. Communication disorders - SLPA only, valid at all grade levels for the instruction of exceptional children and youth with communication disorders, which shall require a baccalaureate degree in communication or speech language pathology, in accordance with 16 KAR 2:050, Section 3....

Agenda Book  
**EDUCATION PROFESSIONAL STANDARDS BOARD  
STAFF NOTE**

**Action Item, Waiver F**

**Action Item:**

Request for Extension to Complete Master's Degree

**Applicable Statutes and Regulations:**

16 KAR 2:010, Section 3

**Applicable Goal:**

Goal 2: Every professional position in a Kentucky public school is staffed by a properly credentialed educator.

**Issue:**

Should the Educational Professional Standards Board (EPSB) grant a second one-year extension to complete the required master's degree?

**Background:**

Ms. Melissa Wyman was initially issued a certificate from 2000-2005 in Elementary Education, Grades P-5. In 2005, she requested and received a one-time, one year extension from the Division of Certification to complete the first 15 hours of her master's degree program. Due to a recent serious accident involving her child, Ms. Wyman is now requesting an additional one-year extension for the 2010-11 school year so she may complete her Master's course work. She was due to complete her degree this summer with her final six hours of course work.

Her supporting materials and recommendations are attached.

**Alternative Actions:**

1. Approve the waiver request
2. Deny the waiver request

**Contact Person:**

Mr. Michael C. Carr, Director  
Division of Certification  
(502) 564-4606  
E-mail: [mike.carr@ky.gov](mailto:mike.carr@ky.gov)

---

**Executive Director**

**Date:**

May 17, 2010



## Agenda Book

### 16 KAR 2:010. Kentucky teaching certificates.

RELATES TO: KRS 158.6451, 161.020, 161.028(1), 161.030

STATUTORY AUTHORITY: KRS 161.028(1)(a), (b), (f), 161.030

NECESSITY, FUNCTION, AND CONFORMITY: KRS 161.028(1)(a) requires the Education Professional Standards Board to establish the standards for obtaining and maintaining a teaching certificate. KRS 161.028(1)(b) requires the board to set standards for programs for the preparation of teachers and other professional school personnel. KRS 161.028(1)(f) requires the board to issue and renew any certificate. This administrative regulation establishes the Kentucky certification to be issued for teaching positions.

Section 1. Definitions. (1) "Approved program of preparation" means a program which has been approved by the Education Professional Standards Board under 16 KAR 5:010 for a specific certification or which has been approved for certification by the state education agency of another state.

(2) "Assessments" means the tests of knowledge and skills authorized by KRS 161.030 and established in 16 KAR 6:010.

(3) "Base certificate" means a stand-alone license to teach which encompasses authorization to teach introductory and interdisciplinary courses in related fields.

(4) "Beginning teacher internship" means one (1) year of supervision, assistance, and assessment required by KRS 161.030 and established in 16 KAR 7:010.

(5) "Certificate endorsement" means an addition to a base or restricted base certificate, which is limited in scope and awarded on the basis of completion of an endorsement program or a combination of educational requirements, assessments and experience as outlined in Section 5 of this administrative regulation.

(6) "Certificate extension" means an additional base or restricted base certificate in a content area or grade range.

(7) "Kentucky teacher standards" means the standards established in 16 KAR 1:010 that identify what a Kentucky teacher shall know and be able to do.

(8) "Major" means an academic area of concentration consisting of at least thirty (30) hours of coursework.

(9) "Professional teaching certificate" means the document issued to:

(a) An individual upon successful completion of the beginning teacher internship; or

(b) An applicant for whom the testing and internship requirement is waived under KRS 161.030 based on preparation and experience completed outside Kentucky.

(10) "Provisional teaching certificate" means the document issued to an individual for the duration of the beginning teacher internship program.

(11) "Restricted base certificate" means a stand-alone license to teach in a specific subject area of certification which is the only subject area that can be taught under this limited certificate.

(12) "Statement of eligibility" means the document issued to an applicant upon completion of an approved program of preparation and successful completion of the assessments.

Section 2. Certificate Issuance. (1) A statement of eligibility for a provisional teaching certificate shall be issued to an applicant who has successfully completed:

(a) 1. At least a bachelor's degree with:

a. A cumulative grade point average of 2.50 on a 4.0 scale; or

b. A grade point average of 3.00 on a 4.0 scale on the last sixty (60) hours of credit completed, including undergraduate and graduate coursework; or

2. As required by Section 4(2)(g)6 or (4)(e) of this administrative regulation, a master's degree with:

a. A cumulative grade point average of 2.50 on a 4.0 scale; or

b. A grade point average of 3.00 on a 4.0 scale on the last sixty (60) hours of credit completed, including undergraduate and graduate coursework;

(b) An approved program of preparation; and

(c) The assessments corresponding to the certificate identified in Section 4 of this administrative regulation for which application is being made.

(2) Upon confirmation of employment in an assignment for the grade level and specialization identified on a valid statement of eligibility, a Provisional Teaching Certificate shall be issued for the duration of the beginning teacher internship established under KRS 161.030.

(3) Upon successful completion of the internship, a Professional Teaching Certificate shall be issued, valid for a four (4) year period.

Section 3. Professional Teaching Certificate Renewal. (1) The renewal shall require completion of a fifth-year program of preparation which is consistent with:

(a) The Kentucky teacher standards established in 16 KAR 1:010; or

(b) The standards adopted by the Education Professional Standards Board for a particular professional education specialty and established in an applicable administrative regulation in KAR Title 16.

(2) The first five (5) year renewal shall require:

(a) Completion of a minimum of fifteen (15) semester hours of graduate credit applicable to the fifth-year program established in 16 KAR 8:020 by September 1 of the year of expiration of the certificate; or

(b) Completion of the professional development plan and a partial portfolio for the continuing education option established in 16 KAR 8:030.

## Agenda Book

(3) The second five (5) year renewal shall require:

- (a) Completion of the fifth-year program established in 16 KAR 8:020 by September 1 of the year of expiration of the certificate; or
- (b) Completion of the professional development plan and a full portfolio for the continuing education option established in 16 KAR 8:030.

(4) Each subsequent five (5) year renewal shall require completion of the renewal requirements established in 16 KAR 4:060.

Section 4. Grade Levels and Specializations. (1) Preparation for a teaching certificate shall be based on:

- (a) The Kentucky teacher standards established in 16 KAR 1:010;
  - (b) The accreditation and program approval standards established in 16 KAR 5:010, including the content standards of the relevant national specialty program associations; and
  - (c) The goals for the schools of the Commonwealth specified in KRS 158.6451 and the student academic expectations established in 703 KAR 4:060.
- (2) A base certificate shall be issued specifying one (1) or more of the following grade level and specialization authorizations:
- (a) Interdisciplinary early childhood education, birth to primary, established in 16 KAR 2:040;
  - (b) Elementary school: primary through grade five (5) to include preparation in the academic disciplines taught in the elementary school.
1. The elementary certificate shall be valid for teaching grade six (6) if grade six (6) is taught in a self-contained classroom or in a school organization in which grade six (6) is housed with grade (5) in the same building.
2. A candidate for the elementary certificate may simultaneously prepare for certification for teaching exceptional children.
- (c) 1. Middle school option 1: grades five (5) through nine (9) with the equivalent of one (1) major to be selected from:
- a. English and communications;
  - b. Mathematics;
  - c. Science; or
  - d. Social studies;
2. Middle school option 2: grades five (5) through nine (9) with two (2) middle school teaching fields to be selected from:
- a. English and communications;
  - b. Mathematics;
  - c. Science; or
  - d. Social studies;
3. A candidate who chooses to simultaneously prepare for teaching in the middle school and for an additional base or restricted base certificate issued under this subsection or subsection (3) of this section, including certification for teaching exceptional children, shall be required to complete one (1) middle school teaching field;
- (d) Secondary school: grades eight (8) through twelve (12) with one (1) or more of the following majors:
- 1. English;
  - 2. Mathematics;
  - 3. Social studies;
  - 4. Biology;
  - 5. Chemistry;
  - 6. Physics; or
  - 7. Earth science;
- (e) Grades five (5) through twelve (12) with one (1) or more of the following majors:
- 1. Agriculture;
  - 2. Business and marketing education;
  - 3. Family and consumer science;
  - 4. Industrial education; or
  - 5. Technology education;
- (f) All grade levels with one (1) or more of the following specialties:
- 1. Art;
  - 2. A foreign language;
  - 3. Health;
  - 4. Physical education;
  - 5. Integrated music;
  - 6. Vocal music;
  - 7. Instrumental music; or
  - 8. School media librarian; or
- (g) Grades primary through twelve (12) for teaching exceptional children and for collaborating with teachers to design and deliver programs for preprimary children, for one (1) or more of the following disabilities:
- 1. Learning and behavior disorders;
  - 2. Moderate and severe disabilities;
  - 3. Hearing impaired;
  - 4. Hearing impaired with sign proficiency;
  - 5. Visually impaired;
  - 6. Communication disorders, valid at all grade levels for the instruction of exceptional children and youth with communication disorders, which shall require a master's degree in communication or speech language pathology, in accordance with 16 KAR 2:050, Section 2; or
  - 7. Communication disorders - SLPA only, valid at all grade levels for the instruction of exceptional children and youth with communication disorders, which shall require a baccalaureate degree in communication or speech language pathology, in accordance with 16 KAR 2:050, Section 3....

